



## **2 Computability theory**

### **2.1 Intuitive idea of computability and Church Thesis**



# The computability main effect

The surest recipe non computer scientist to be horrified:

A hot debate over **the** right program language

- All program languages and machine models are  
„**equally powerful**“
  
- In every model there are the same **non computable** problems



## 2.2 The computability in the intuitive sense

$f : \mathbb{N}^k \rightarrow \mathbb{N}$  is called (partial) function.

$f$  is **computable** if

$\exists$  an effective procedure (=algorithm) which **computes**  $f$ .

effective procedure = Java-program (, ..., “appropriate” program language)

Input:  $(x_1, \dots, x_k) \in \mathbb{N}^k$

Output:  $f(x_1, \dots, x_k)$

program **halts** in finitely many steps in case of  $(x_1, \dots, x_k) \in$  domain of  $f$ .

**infinite loop** otherwise.



## **Example**

input  $n$

**repeat**

**until** false

computes the total not defined function  $\Omega$



## Example

$$f_{\pi}(n) = \begin{cases} 1 & \text{if } n \text{ is an initial segment in the decimal representation of } \pi. \\ 0 & \text{otherwise} \end{cases}$$

$f_{\pi}$  is computable:

Use large number arithmetic, and apply an appropriate approximate computation “large enough”.



## Excursus: some approximations of $\pi$

Archimedes: Approximation by right polygons.

Ancient Indian: 1682 of Leibniz rediscovered

$$\pi = 4 \sum_{i=0}^{\infty} \frac{-1^i}{2i+1} = 1 - \frac{1}{3} + \frac{1}{5} - \dots$$

(“appropriate stop condition”)

Baile-Borwein-Plouffe 1996:

$$\pi = \sum_{i=0}^{\infty} \frac{1}{16^i} \left( \frac{4}{8i-1} - \frac{2}{8i+4} - \frac{1}{8i+5} - \frac{1}{8i+6} \right)$$



## Example

$$f(n) = \begin{cases} 1 & \text{if } n \text{ appears somewhere in the decimal representation of } \pi. \\ 0 & \text{otherwise} \end{cases}$$

It is **unknown** if  $f$  is computable!

It is not known till now  $\forall n : f(n) = 1$  ("normality" of  $\pi$ ).



## Example

$$f(n) = \begin{cases} 1 & \text{if } n \times \text{'7'} \text{ appears somewhere in the decimal representation of } \pi \\ 0 & \text{otherwise} \end{cases}$$

Is  $f$  computable ?





## Example

$$f(n) = \begin{cases} 1 & \text{if } n \times \text{'7'} \text{ appears somewhere in the decimal representation of } \pi \\ 0 & \text{otherwise} \end{cases}$$

Is  $f$  computable ? **Yes !**

If  $\forall n : n \times \text{'7'}$  occurs:  $\forall n : f(n) = 1$

If  $\text{'7'}$  occurs maximum  $n_0$  times somewhere:

$$\longrightarrow f(n) = \begin{cases} 1 & \text{if } n \leq n_0 \\ 0 & \text{otherwise} \end{cases}$$

Also: computability  $\neq$  we know the function definitive specified !



## Example

LBA: linear bounded Turing machine

DLBA: deterministic linear bounded Turing machine

$$i(n) = \begin{cases} 1 & \text{if } \forall LBA M \exists DLBA D : L(M) = L(D) \\ 0 & \text{otherwise} \end{cases}$$

We don't know the function  $i(n)$ .

But,  $i(n)$  is a constant function and hence obviously **computable**.



## Non computable functions

Let  $r \in \mathbb{R}$  be arbitrary.

$$f_r(n) = \begin{cases} 1 & \text{if } n \text{ is an initial segment in the decimal representation of } r. \\ 0 & \text{otherwise} \end{cases}$$

Assume:  $\forall r : f_r$  is computable.

→  $\exists$  at least as much computable functions as the real numbers.

A contradiction:

- The set of all computable functions is countable  
(since it is described by finitely long text).
  
- $\mathbb{R}$  is not countable.



## **Non computable functions**

There are some. But could we write out one concrete?  
todo



# Church thesis

Functions computable by Turing machine  
are exactly those computable in the intuitive sense.  
Not a proposition but everybody accepted.

## The reasons

- All known computable models are weaker or equivalent.  
*this we can prove*
- All „intuitive“ computable known function are Turing-computable.



## Turing machines compute **functions**

$T = (Q, \Sigma, \Gamma, \delta, s, F)$  **computes** the partial function  $f_T : \Sigma^* \rightarrow \Gamma^* \Leftrightarrow$

$$f_T(w) := \begin{cases} v & \text{if } T \text{ halts by input of } w \text{ with output } v \\ ((s)w \Rightarrow u(q)v), q \in F & \\ \perp = (\text{not defined}) & \text{otherwise} \end{cases}$$

$g$  is **Turing computable**  $\Leftrightarrow \exists T : f_T = g$

Remark: when  $g(x) = \perp$ ,  $T$  does not halt.



# Turing machines compute numerical functions

$f : \mathbb{N}^k \rightarrow \mathbb{N}$  is Turing computable  $\Leftrightarrow$

$\exists T = (Q, \Sigma, \Gamma, \delta, s, F) : \forall n_1, \dots, n_k, m \in \mathbb{N} :$

$f(n_1, \dots, n_k) = m \Leftrightarrow$

$(s)\text{bin}(n_1)\#\dots\#\text{bin}(n_k) \vdash^* u(q)\text{bin}(m), q \in F$



# Acceptance $\rightsquigarrow$ function

Computability of a function is the main idea.

Instead of **acceptor** for  $L \subseteq \Sigma^*$  consider TM, which computes a „half“ **characteristic function**

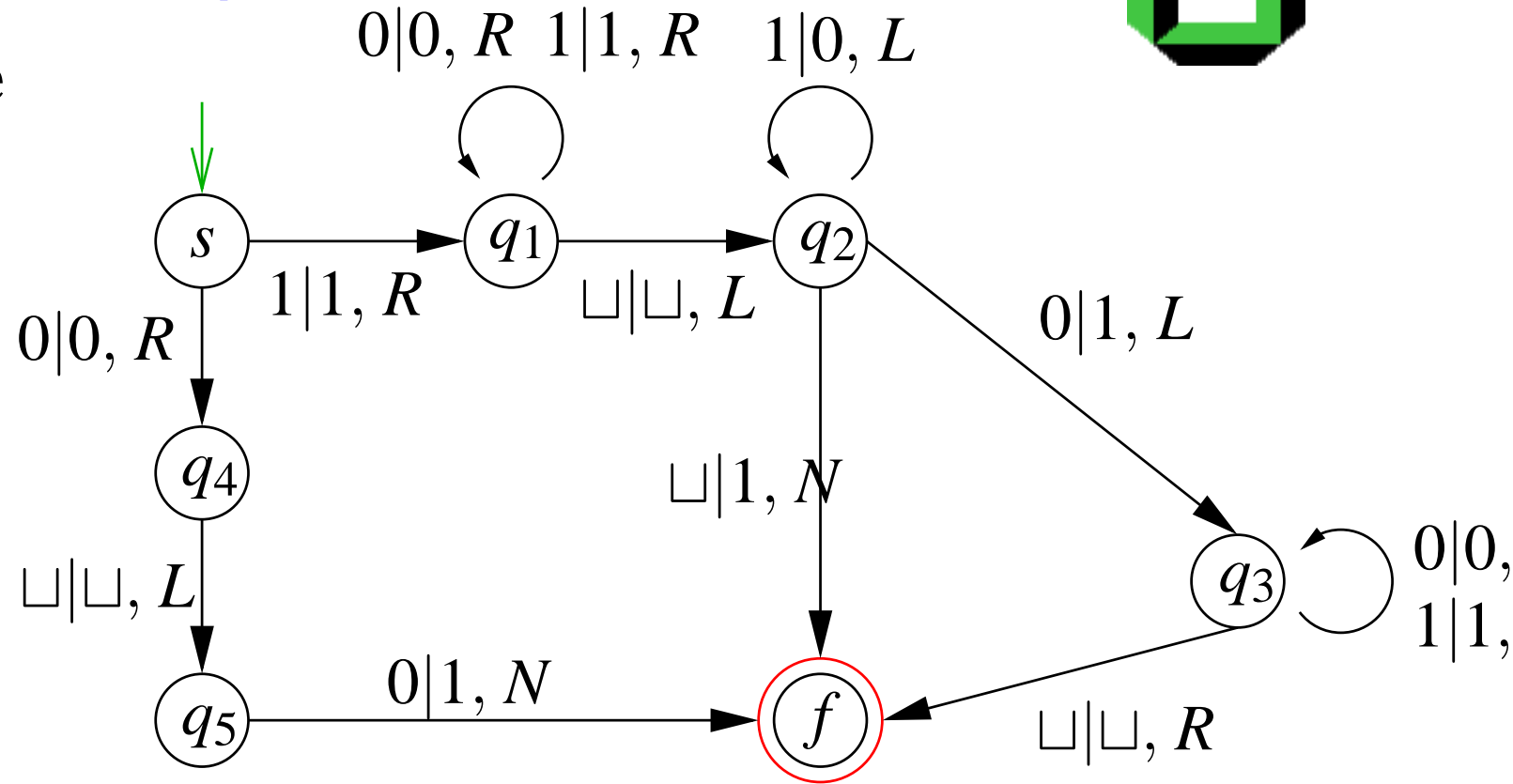
$$\chi'_L(w) = \begin{cases} 1 & \text{if } w \in L \\ \perp & \text{otherwise} \end{cases}$$

But as we said, all „interesting“ could we perform as acceptors.





# Example

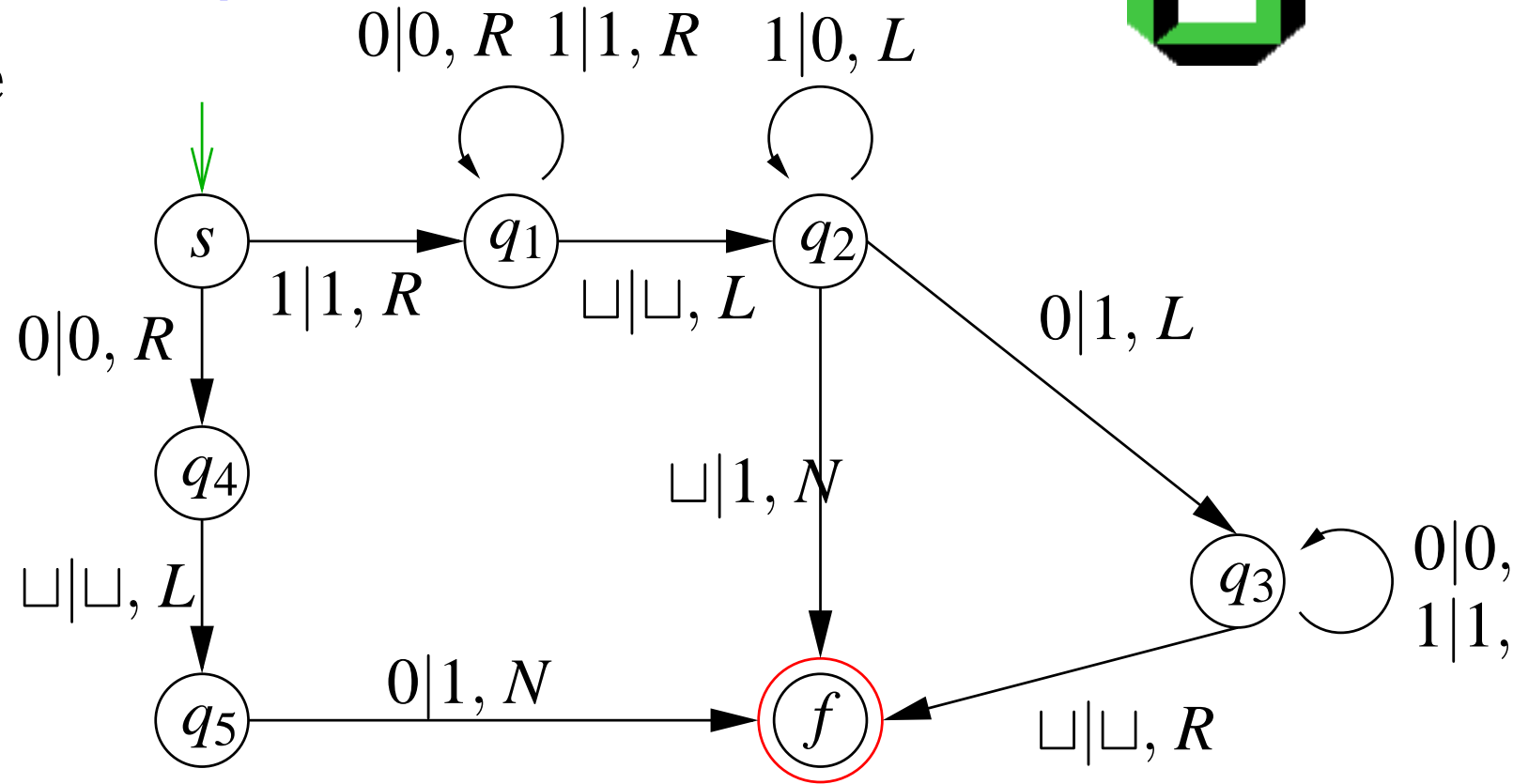


$$f(w) = \begin{cases} w + 1 & \text{if } w \in 0 \cup 1(0 \cup 1)^*, \\ & w \text{ interpreted as binary number} \\ \text{undefined} & \text{otherwise} \end{cases}$$

Remark: Not displayed movement are valid here as **infinite loops**.



# Example



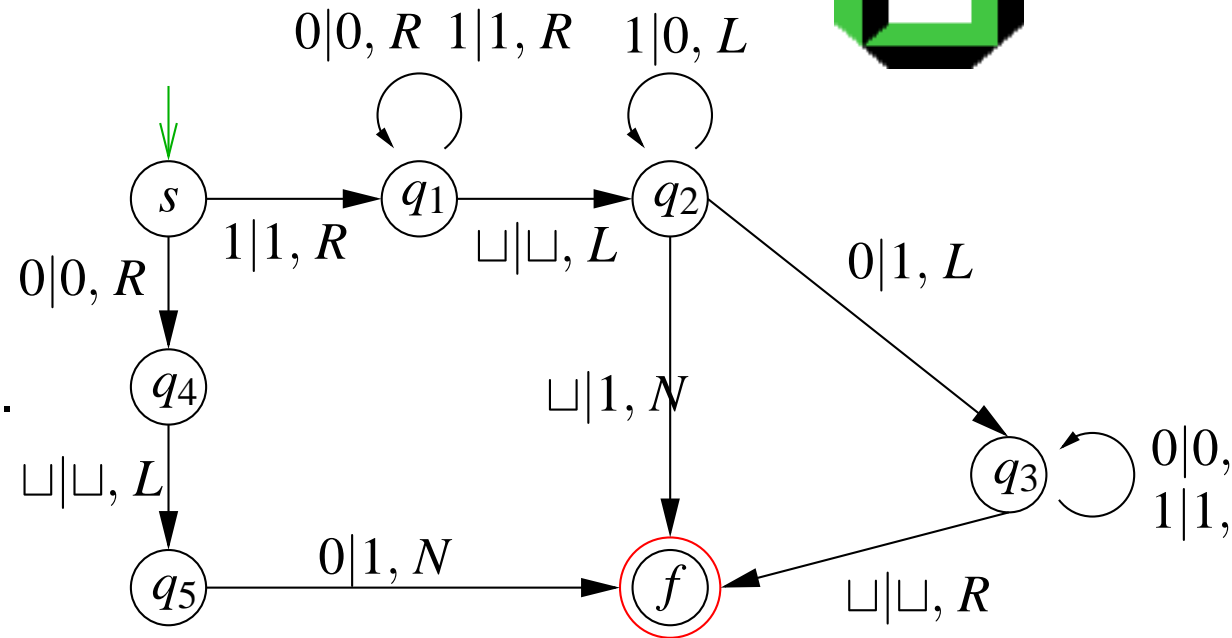
$(s)11 \vdash 1(q_1)1 \vdash 11(q_1) \vdash 1(q_2)1 \vdash (q_2)10 \vdash (q_2)\sqcup 00 \vdash (f)100$



# Functionality

definition by cases  
on the structure of the input.

Let  $w \in \{0, 1\}^*$ ,  
 $a \in \{0, 1\}, n \geq 1$ .



**0:**  $(s)0 \vdash 0(q_4) \vdash (q_5)0 \vdash (f)1$

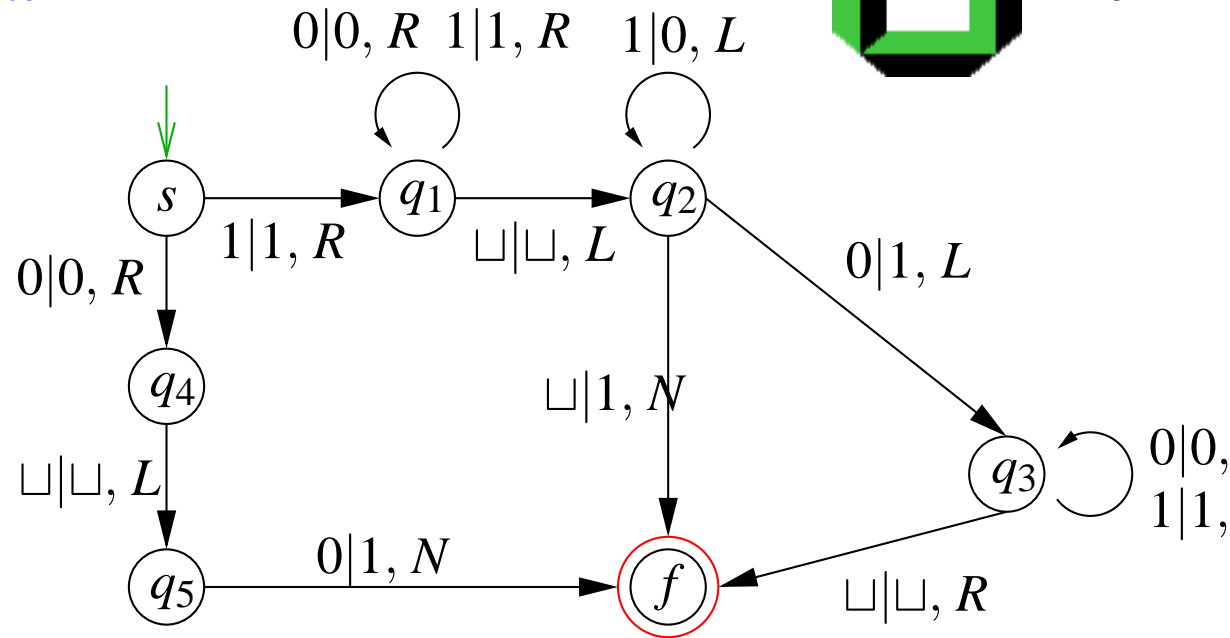
**0aw:**  $(s)0aw \vdash 0(q_4)aw$  not defined



# Functionality

Definition by cases  
on the structure of input.

Let  $w \in \{0, 1\}^*$ ,  
 $a \in \{0, 1\}, n \geq 1$ .



$$1^n: (s)1^n \vdash 1(q_1)1^{n-1} \vdash 1^n(q_1) \vdash 1^{n-1}(q_2)1 \vdash (q_2)\sqcup 0^n \vdash (f)10^n$$

$$1w0: (s)1w0 \vdash 1(q_1)w0 \vdash 1w0(q_1) \vdash 1w(q_2)0 \vdash 1w(q_3)1 \vdash (q_3)\sqcup 1w1 \vdash (f)1w1$$

$1w01^n$ :

$$(s)1w01^n \vdash 1(q_1)w01^n \vdash 1w01^n(q_1) \vdash 1w01^{n-1}(q_2)1 \vdash 1w(q_2)00^n \vdash 1w(q_3)10^n \vdash (q_3)\sqcup 1w10^n \vdash (f)1w10^n$$



## **Example: The overall not defined function**

$$T = (\{s\}, \Sigma, \Gamma, \delta, s, \{\})$$

$$\forall a \in \Gamma : \delta(s, a) = (s, a, R)$$



# Program technics for Turing machines

- Local variables
- Serial shifts
- Traces
- While-loops



## Local Variables

Local variable accumulates  $x \in A$ , ( $|A| < \infty$  !):

$$Q \rightsquigarrow Q \times A$$

**Example:**  $M$  is TM, such that memorizes the first symbol of the word and halts if it is not in another place in the word.

$$\delta([s, \sqcup], 0) = ([q, 0], 0, R) \quad \delta([s, \sqcup], 1) = ([q, 1], 1, R)$$

$$\delta([q, 0], 1) = ([q, 0], 1, R) \quad \delta([q, 1], 0) = ([q, 1], 0, R)$$

$$\delta([q, 0], \sqcup) = (f, \sqcup, N) \quad \delta([q, 1], \sqcup) = (f, \sqcup, N)$$



# Serial shifts

Given:  $T = (Q, \Sigma, \Gamma, \delta, s, F)$

Let:  $(s)w \vdash^*(r)f_T(w)$  for one  $r \in F$  if  $f_T(w) \neq \perp$ .

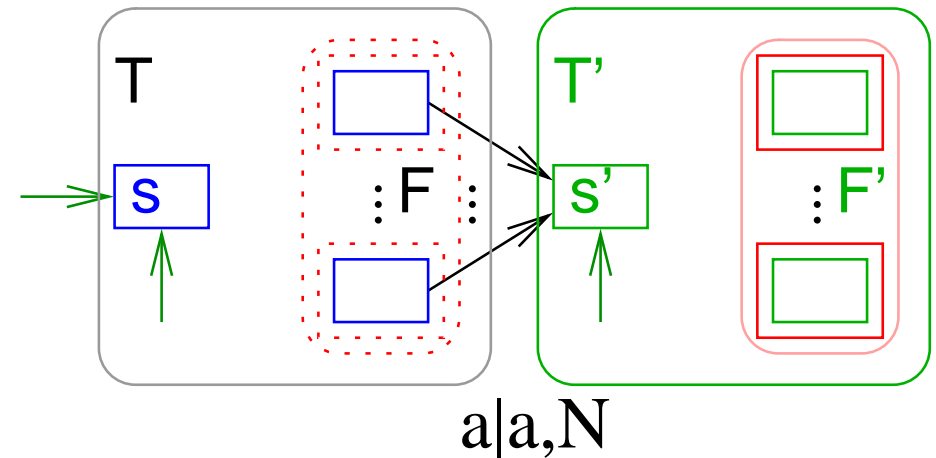
$T' = (Q', \Sigma, \Gamma', \delta', s', F')$ .

output: Turing machine  $T^\circ = (Q^\circ, \Sigma, \Gamma^\circ, \delta^\circ, s, F')$  for  $f_{T'}(f_T(x))$ :

$$Q^\circ = Q \dot{\cup} Q'$$

$$\Gamma^\circ = \Gamma \cup \Gamma'$$

$$\delta^\circ(q, a) = \begin{cases} \delta(q, a) & \text{if } q \in Q \setminus F \\ (s', a, N) & \text{if } q \in F \\ \delta'(q, a) & \text{if } q \in Q' \end{cases}$$







## if then else

Given:  $T = (Q, \Sigma, \Gamma, \delta, s, F)$ ,  $T' = (Q', \Sigma, \Gamma', \delta', s', F')$   
 $T'' = (Q'', \Sigma, \Gamma'', \delta'', s'', F'')$ .

Output: Turing machine  $T^\circ = (Q^\circ, \Sigma, \Gamma^\circ, \delta^\circ, s, F' \cup F'')$

$$Q^\circ = Q \dot{\cup} Q' \dot{\cup} Q'', \Gamma^\circ = \Gamma \cup \Gamma' \cup \Gamma''$$

$$f_{T^\circ}(x) = \begin{cases} f_{T'}(f_T(x)) & \text{if } f_T(x) = a \\ f_{T''}(f_T(x)) & \text{if } \downarrow f_T(x) \neq a \end{cases}.$$



$$\delta^\circ(q, b) = \begin{cases} \delta(q, b) & \text{if } q \in Q \setminus F \\ (s', b, N) & \text{if } q \in F \ \& \ b = a \\ (s'', b, N) & \text{if } q \in F \ \& \ b \neq a \\ \delta'(q, b) & \text{if } q \in Q' \\ \delta''(q, b) & \text{if } q \in Q'' \end{cases}$$



# Tracks

$$\Gamma = \Gamma_1 \times \cdots \times \Gamma_k.$$

Example: Arithmetical operations of 2 binary numbers,  
Marking...

A little complication: the input alphabet will be modified.

Solution:

- $\Gamma = \Sigma \dot{\cup} \Gamma_1 \times \cdots \times \Gamma_k$

- replace  $a \in \Sigma$  through  $(a, 0, \dots, 0)$  in the input.



# While-loops: While $i \neq 0$ Do $\text{tape} := f_T(\text{tape})$

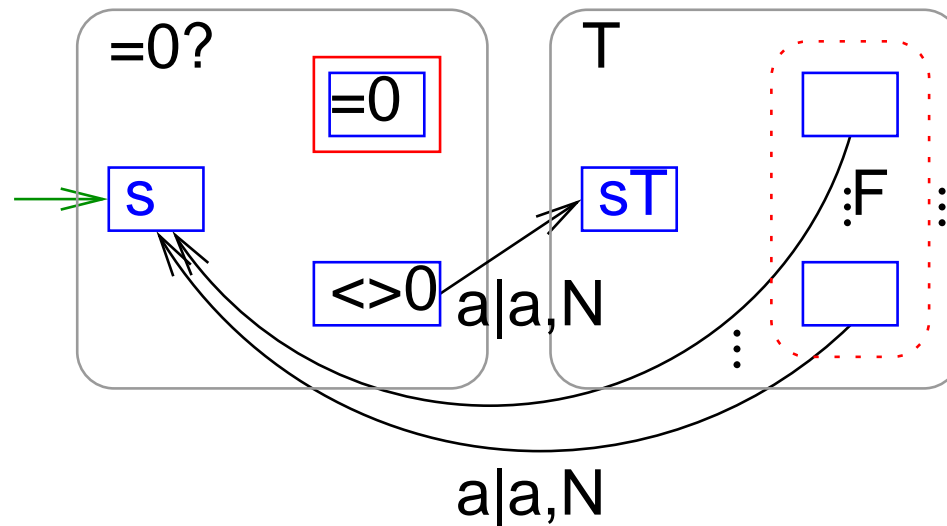
Track  $i$  defines a number (unary or binary)

Subprogram: test on track  $i = 0$ .

When yes: halt

Leave  $T$  moving

back to the start state. (the transition  $\delta(f, a) = (s, a, N)$ )





Examples:

$R_{\sqcup}$  - scans right until finding  $\sqcup$

( the same  $L_{\sqcup}$  )

**Copy:**  $(q)w\sqcup \vdash (f)w\sqcup w$  - copy the word

**Shift R:**  $(q)\sqcup w\sqcup \vdash (f)w\sqcup w$  - move the word one position to the right.

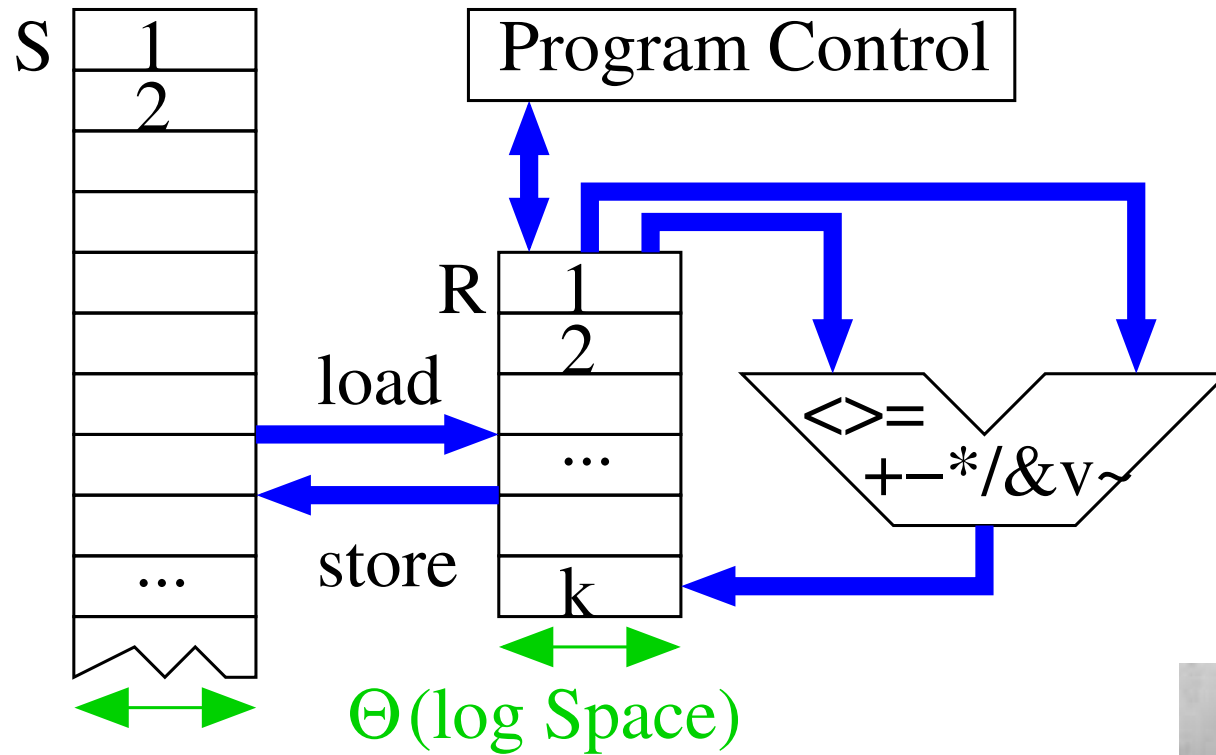


## **2.3 LOOP-, WHILE-, GOTO (=Register machines) and RAM- computability**

- More familiar computational models
- All up to one are Turing powerful



# RAM: Random Access Machine

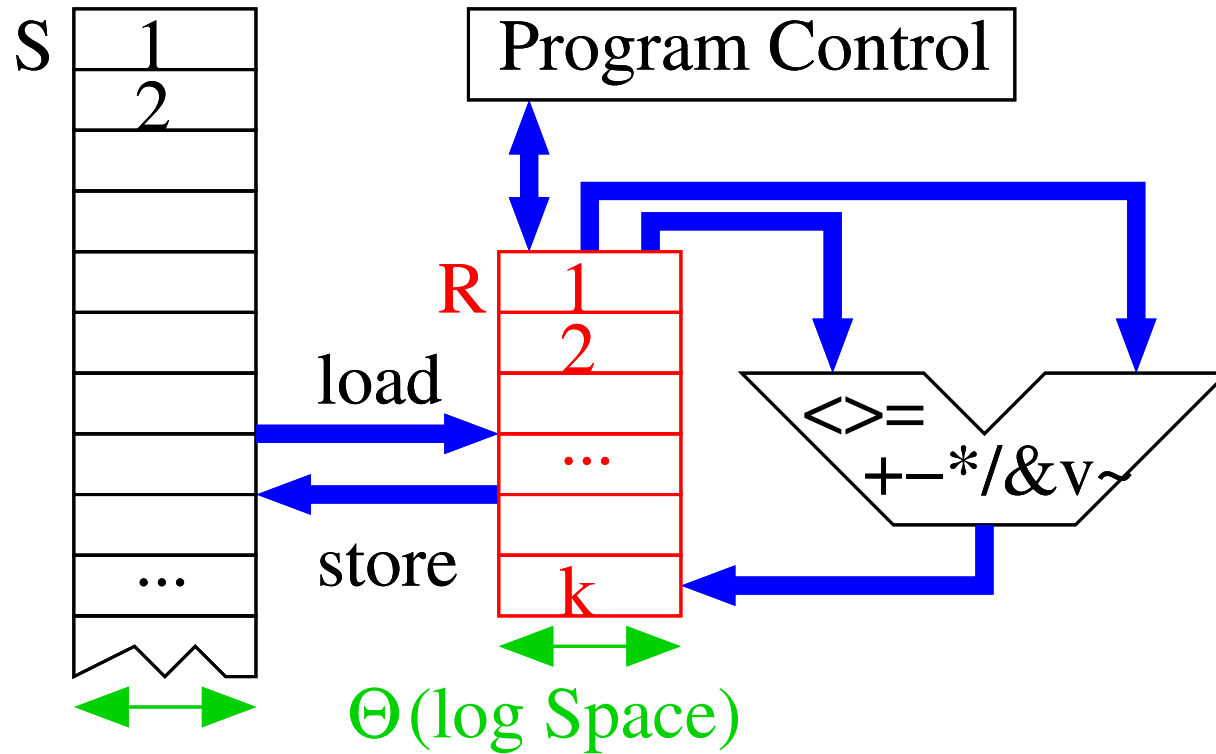


Modern (RISC) adaptation  
of Neumann-models [of Neumann 1945]





# Register



$k$  (any constant) memory

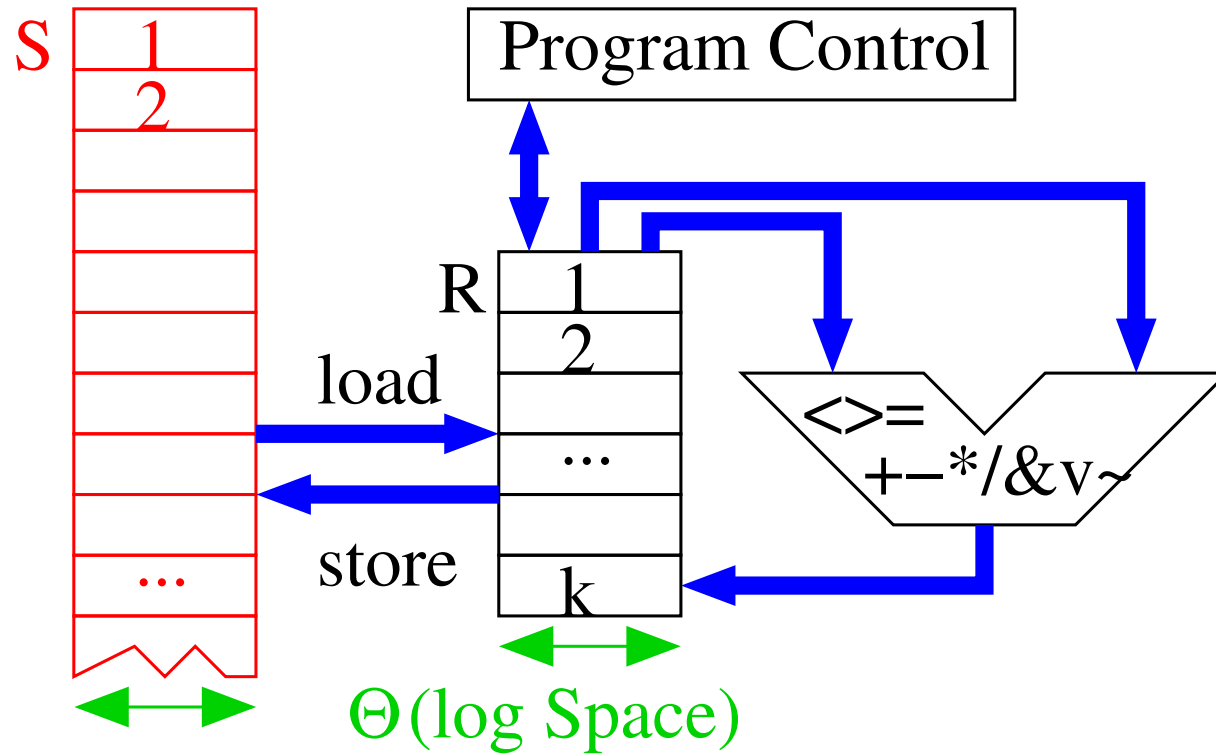
$R_1, \dots, R_k$  for

(small) integers





# The main memory



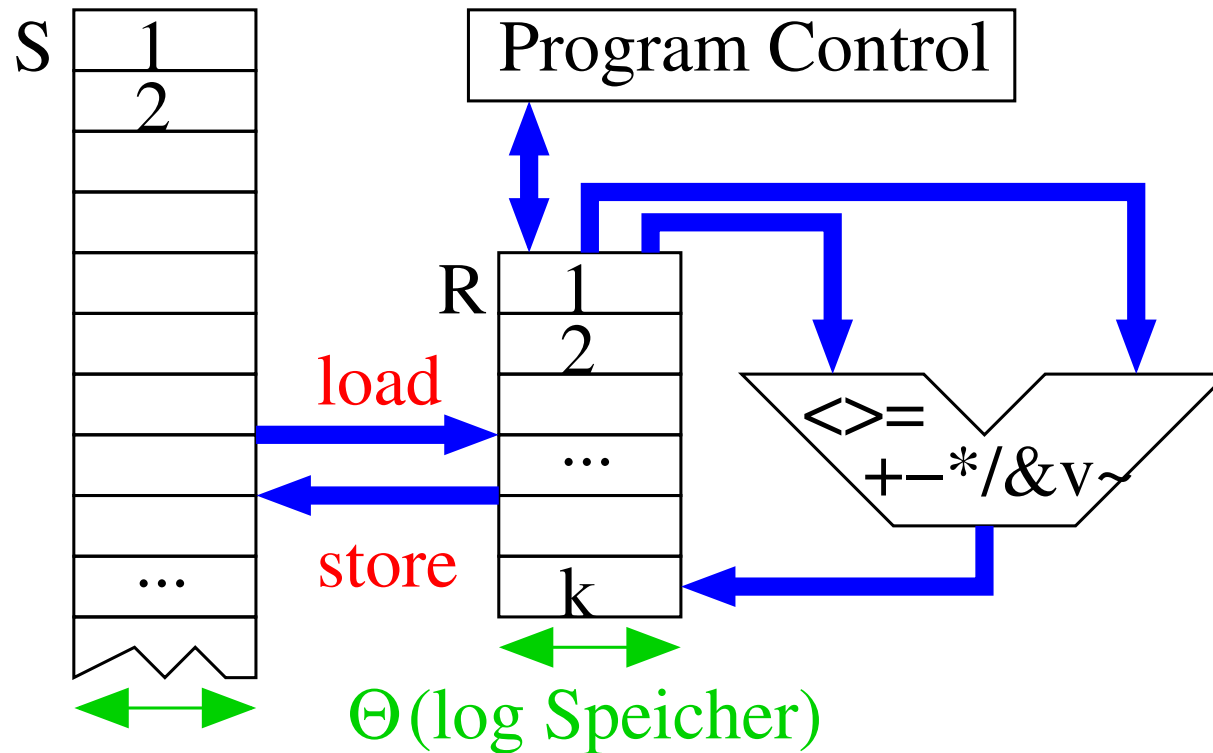
Non bounded supply of memory cells

$S[1], S[2] \dots$  for

(small) integers



# Memory access

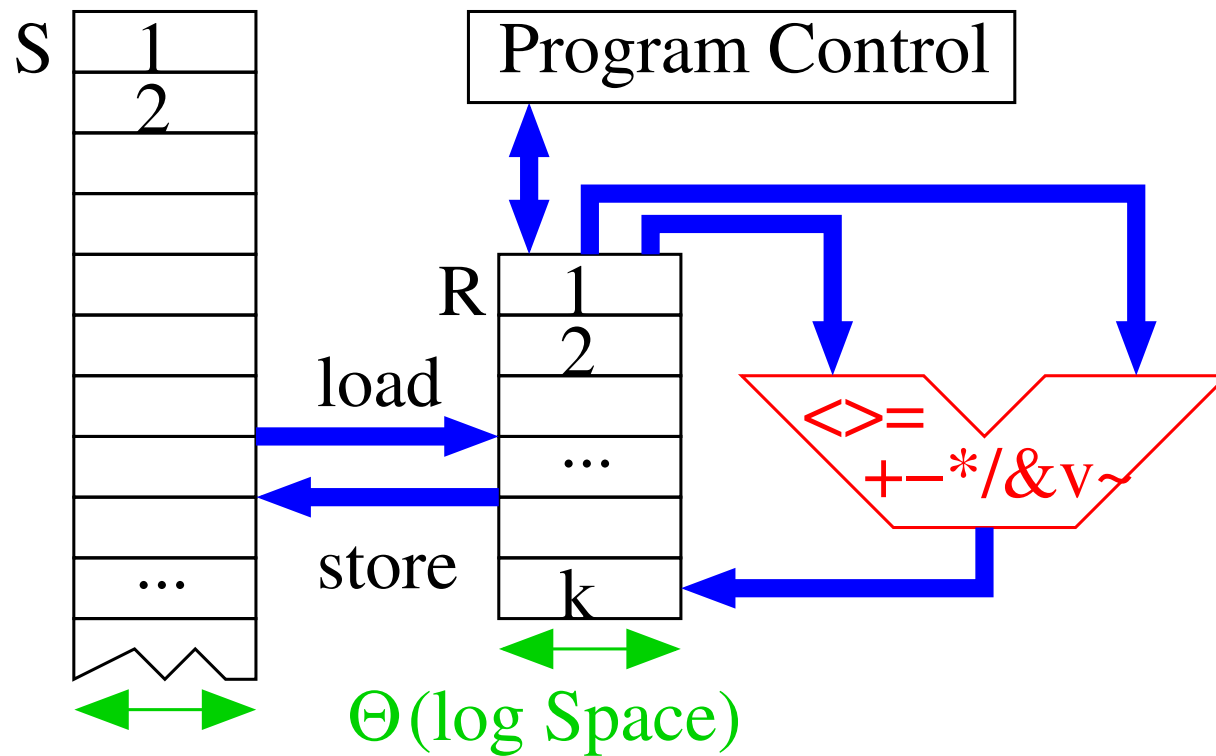


$R_i := S[R_j]$  loads the content of the memory cell  $S[R_j]$  in Register  $R_i$ .

$S[R_j] := R_i$  stores Register  $R_i$  in memory cell  $S[R_j]$ .



# Calculation



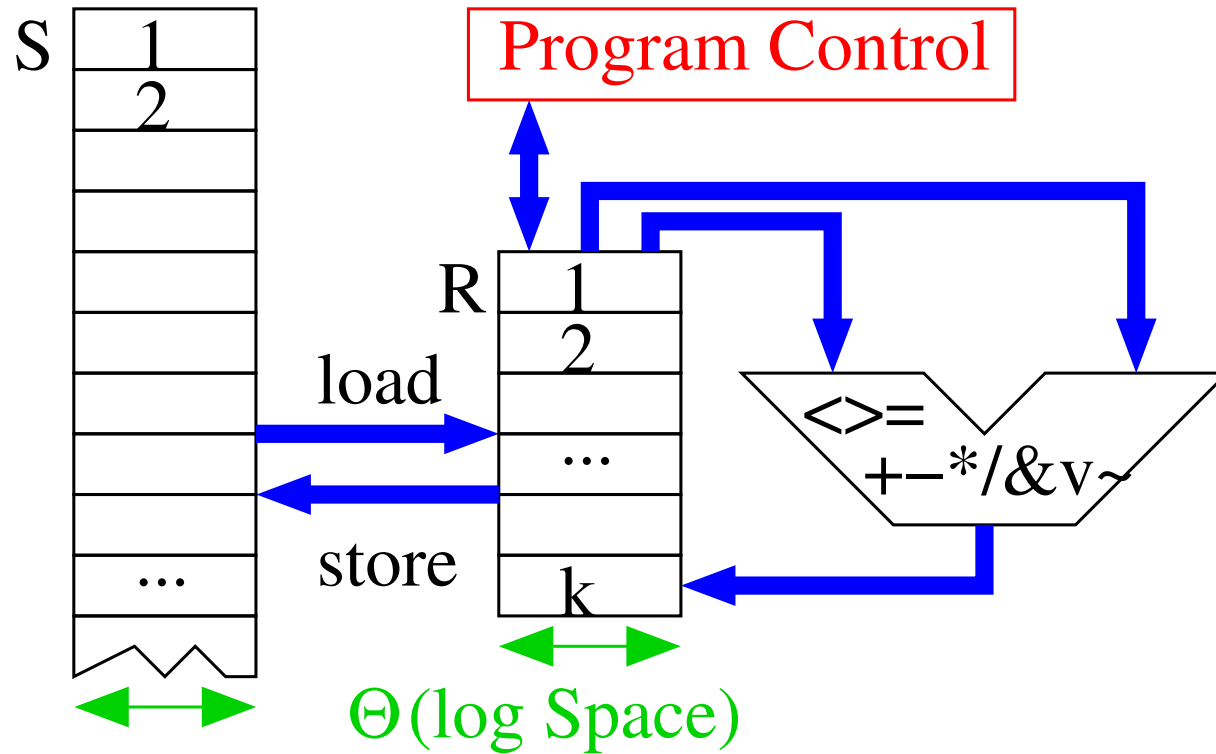
$R_i := R_j \odot R_\ell$  Register arithmetic.

' $\odot$ ' is a placeholder for a huge number of operations

Arithmetic, Comparison, Logic



# Conditional jump



$JZ\ j, R_i$  Puts the program execution on label  $j$  (goto  $j$ ) if  $R_i = 0$



## „Small“ integers?

Alternatives:

**Constantly many bits (64?):** theoretic unsatisfactory since only finite memory is addressed  $\rightsquigarrow$  finite automaton

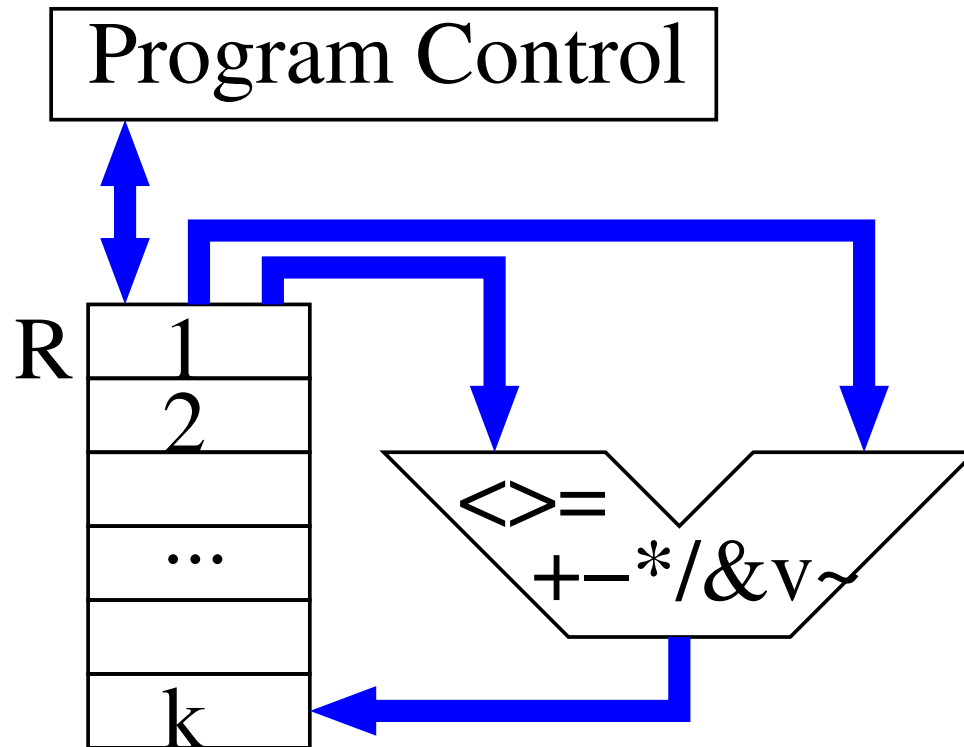
**Arbitrary large:** too optimistic

**Enough for all used memory cells to be addressed:** The best compromise.



# Register machine

≈ RAM – memory + arbitrary large





# Register machines-computability

Configuration:  $(q, R_1, \dots, R_k)$

$q$  is a counter for the program commands

„ $\vdash^*$ “ we have defined.

$f : \mathbb{N}^{k'} \rightarrow \mathbb{N}, k' \leq k$  is **Register machines** computable  $\Leftrightarrow$

$\exists \text{RM } M : \forall n_1, \dots, n_{k'}, m \in \mathbb{N} :$

$$f(n_1, \dots, n_{k'}) = m \Leftrightarrow$$

$$(1, n_1, \dots, n_{k'}, 0^{k-k'}) \vdash^* (q, f(n_1, \dots, n_k), \dots)$$

with  $\text{PROGRAM}[q] = \text{HALT}$



## RAM-computability

Configuration:  $(q, R_1, \dots, R_k, S)$

Let  $M$  be a RAM:

input:  $w \in \Sigma^n$  in  $S[1], \dots, S[n]$

output:  $f_M(w)$  in  $S[1], \dots, S[|f_M(w)|]$

when HALT-command is executed.

Natural numbers are considered and we have to code them! Analog TM





# High level program languages

Java, C/C++, Pascal, . . .

ML, Lisp, . . .

Prolog, Oz, . . .

. . .

are the most popular program models for us.

Compilers translate the programs in RAM Code.



# LOOP-Program

Minimal program language for computability theory:

```
 $\mathbb{N}$  main( $\mathbb{N}x_1, \dots, \mathbb{N}x_k$ ) {  
     $\mathbb{N} x_0 = 0; \mathbb{N} x_{k+1} = 0; \mathbb{N} x_{k+2} = 0; \dots$   
    body;  
    return  $x_0$ ;  
}
```

body is allowed to use

**Assignment:**  $x_i := x_j + c, c \in \{-1, 0, 1\}$

$0 - 1 := 0$

Schöning:  $c \in \mathbb{Z}$

**‘;’:** Sequence of instructions

**loop  $x_i$ :** **Loop. Repeat**  $x_i$  times. The contents of  $x_i$  is relevant before the first execution of the loop.



# LOOP-Program

**Observation:** The Loop-Program always terminates.

**Definition:**  $f$  is **Loop-computable** if

$\exists$  Loop-Program  $P$ , which computes  $f$ .

**Question:** which functions are Loop-computable?

Are there total computable functions, which are **not** Loop-computable?



## Loop-Programs.

$x := x + c$

//  $c \in \mathbb{Z}$

$x := y + z$

$x := y \dot{-} z$

//  $y \dot{-} z = y - z$  if  $y \geq z$ , 0 otherwise

$x := y \cdot z$

$x := y \text{ div } z$

$x := y \text{ mod } z$

arbitrary arithmetical expressions

**if  $x \neq 0$  then ...**



## **Example Addition** $x_0 := x_1 + x_2$

$x_0 := x_1$

**loop**  $x_2$

$x_0 ++$



## **Example Multiplication** $x_0 := x_1 \cdot x_2$

**loop**  $x_1$

$x_0 := x_0 + x_2$



## Example if $x = 0$ then A

$y := 1$

**loop**  $x$

$y--$

**loop**  $y$

A



# While-program

Minimal program language for computability theory:

```
 $\mathbb{N}$  main( $\mathbb{N}x_1, \dots, \mathbb{N}x_k$ ) {  
     $\mathbb{N} x_0 = 0; \mathbb{N} x_{k+1} = 0; \mathbb{N} x_{k+2} = 0; \dots$   
    body;  
    return  $x_0$ ;  
}
```

in the body we can use

**Assignments:**  $x_i := x_j + c, c \in \{-1, 0, 1\}$

$0 - 1 := 0$

**‘;’:** Sequence of instructions

**while( $x_i \neq 0$ ):** loops





# WHILE simulates LOOP

**loop**  $x$  **do**

$P$

$\rightsquigarrow$

$y := x$

**while**  $y \neq 0$  **do**

$y := y - 1$

$P$

//  $y$  does not occur in  $P$



## Could we While by LOOP simulate ?

No !

Way not?

At least all total Turing computable functions ?

~> later

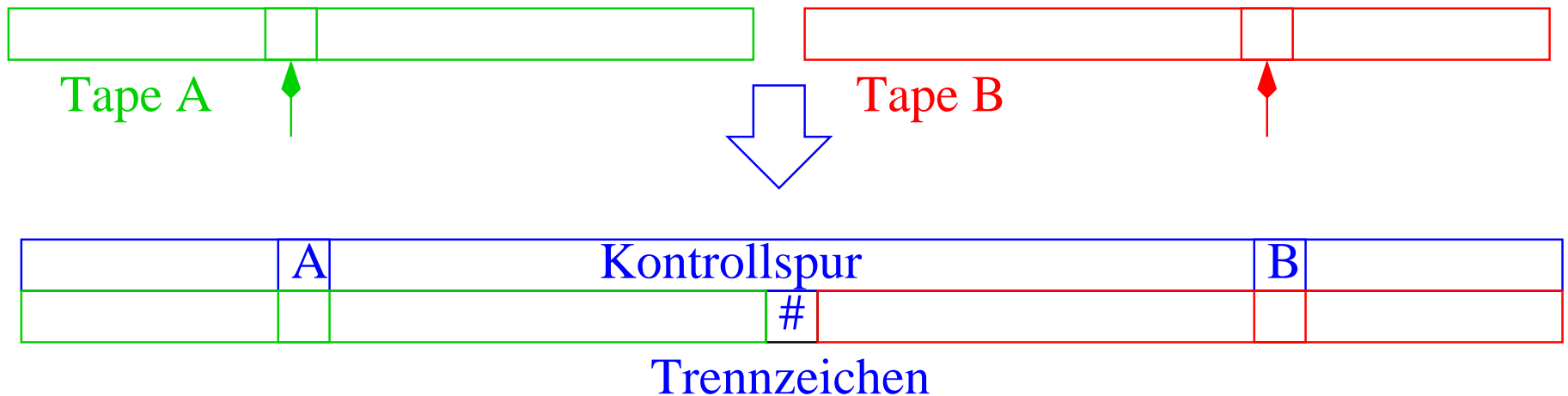


# Equivalence of the machine models





# Turing machine emulates $k$ -tape-TM



- Non empty tape parts are hanged one by one (separators used)
- The position of the head is marked
- A state memorizes  $k - 1$  tape symbols

Proposition: The time  $T$  with  $k$ -tape-TM  $\rightarrow$  Time  $\mathcal{O}(T^2)$  for one-tape-TM.



## ***k*-tape-TM emulates Register machine**

- One tape per register (binary format or unary format)
- Different states for every program line
- Subprograms for arithmetic
- Assignment  $\rightarrow$  copy tape



# Register machine emulates RAM

Idea: an additional register  $R_S$  represents the memory:

$$R_S = \sum_i S[i] \cdot 2^{bi}$$

with  $b$  = number of RAM bits

$S[i]$  in  $R_j$  **loading**:

$$R_j := \frac{R_S}{2^{bi}} \bmod 2^b .$$

$S[i] := 0$ :

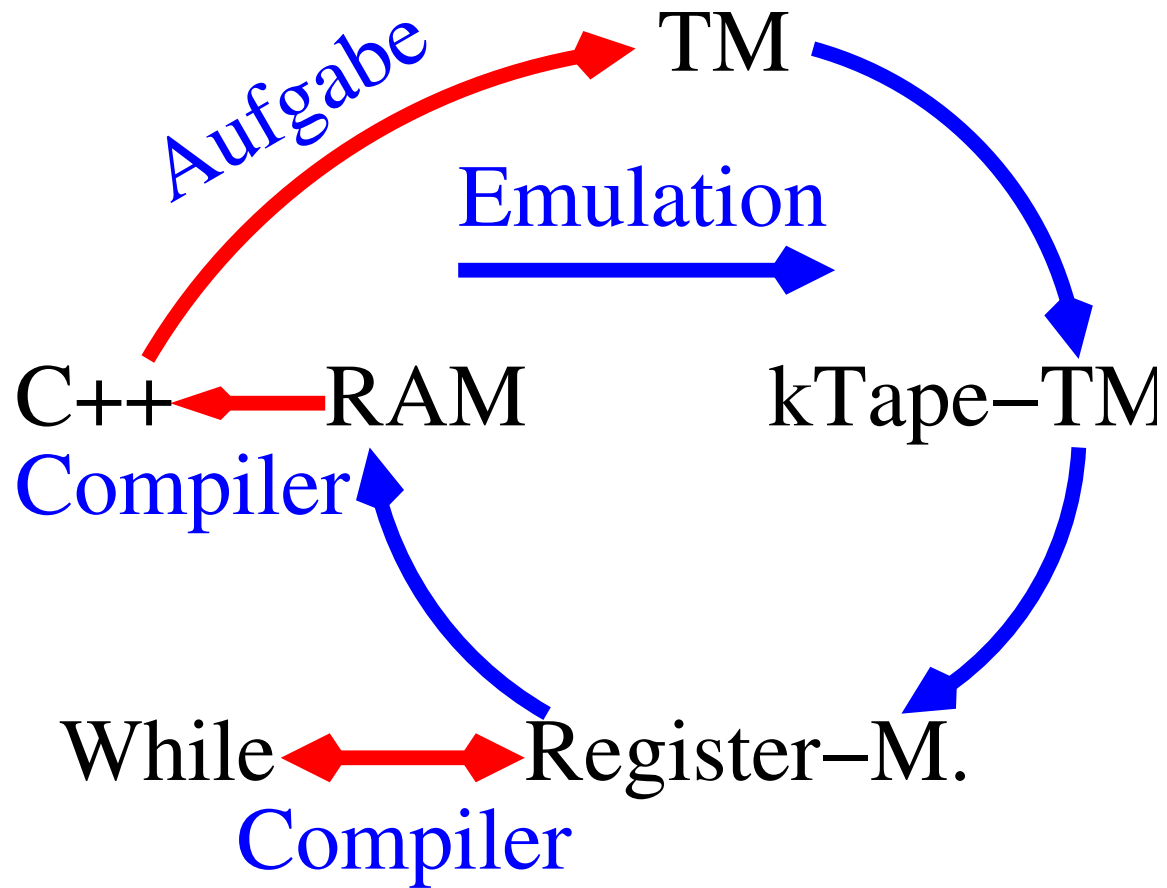
$$R_S := R_S - \left( \frac{R_S}{2^{bi}} \bmod 2^b \right) 2^{bi}$$

$R_j$  in  $S[i]$  **saving**:

$$S[i] := 0; R_S := R_S + R_j \cdot 2^{bi}$$



# Equivalence of machine models





# Markov-Algorithms

Deterministic rules for string-rewriting.

Given: input  $w \in \Sigma^*$

The set of rules  $\Delta \in (\Gamma^* \times \Gamma^*)^*$

**while**  $\exists (\ell, r) \in \Delta, u, v \in \Gamma^* : w = u\ell v$  **do**

    find the first rule  $(\ell, r) \in R$

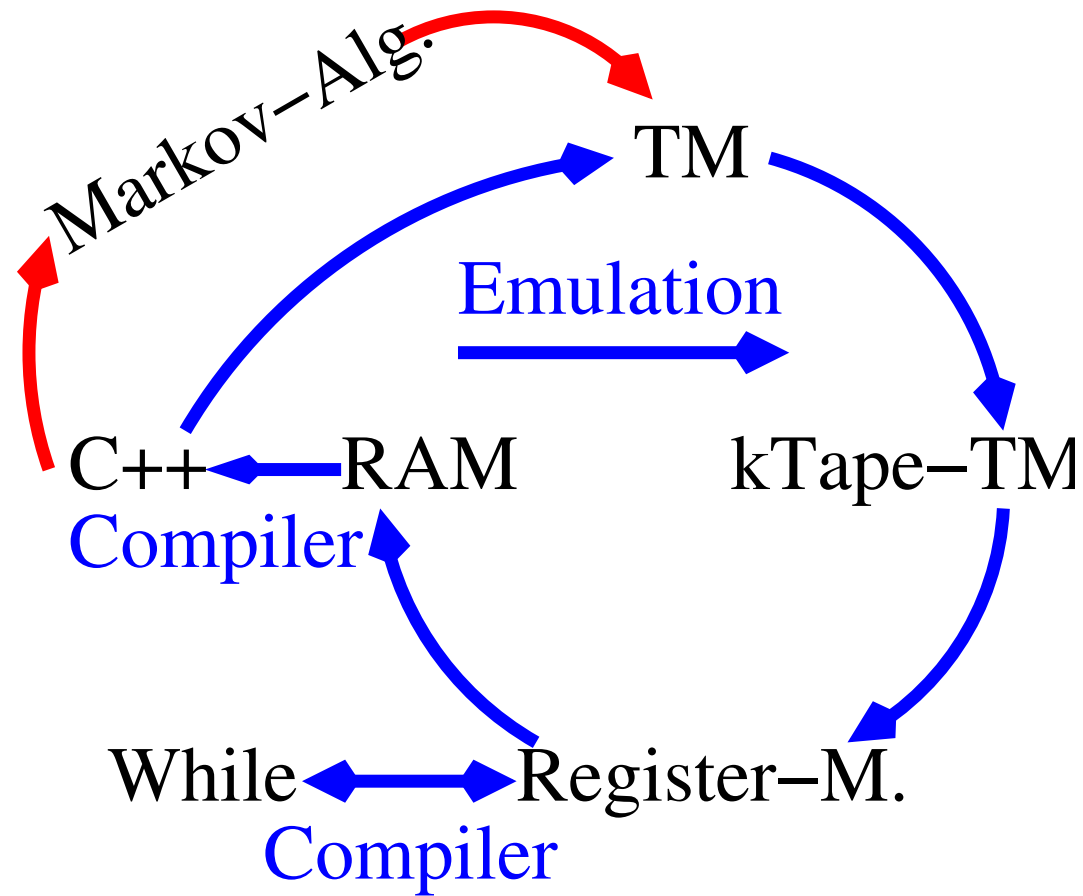
        and the shortest  $u \in \Gamma^*$  such that  $w = u\ell v$  for some  $v \in \Gamma^*$

$w := urv$





# Markov-Algorithms: Turing powerful





## Markov-algorithms: Turing powerful

Given: TM  $M = (Q, \Sigma, \Gamma, \delta, s, F)$  with input  $w$ .

Let max 1  $\sqcup$  left and right of input will be looked at.

Consider Markov-algorithm for the alphabet  $Q \cup \Gamma \cup \{(\cdot)\}$ .

$\Delta = \dots$  Special rules for the edge

- $\langle ((q)a, (q')a') : \delta(q, a) = (q', a', N) \rangle$
- $\langle (c(q)a, (q')ca') : \delta(q, a) = (q', a', L) \rangle$
- $\langle ((q)ac, a'(q')c) : \delta(q, a) = (q', a', R) \rangle$

input the Markov-algorithm  $\sqcup(s)w\sqcup$

The consequence of the produced strings is the exact result of the **configurations** of the Turing machine!



# Semi-Thue-System

Like nondeterministic Markov-algorithms.

Even so Turing powerful.

Our TM-simulation has always one applicable rule.

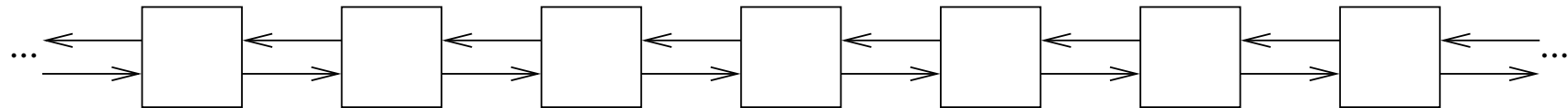


# Cellular automata

Consider the finite automaton  $(\{0, 1\}, \{0, 1\}^2, \delta, \emptyset)$  with

$Q$	0	1	0	1	0	1	0	1
$L \times R$	(0,0)	(0,0)	(0,1)	(0,1)	(1,0)	(1,0)	(1,1)	(1,1)
$\delta$	0	1	1	1	0	1	1	0

Connect infinitely many of such automata to a chain.



[M. Cook 2002]: This machine is Turing-powerful.

see also in Wikipedia „rule 110 cellular automaton“



# Quantum computer

- One **Qubit** stores the superpositions of 0 and 1.
- Computations with  $n$  Qubits compute superposition of  $2^n$  classical computations
- Quantum computer could in polynomial time **factorizes** and could obtain **discrete logarithms**
- This became many cryptographic algorithms to compromise



# Quantum computer: computability and complexity theory

- Assumption of the complexity theory:
  - Factorizing, DLog are **not in  $P$**  (the same with randomizing)
  - Factorizing, DLog not NP hard.
  
- Turing machines could simulate Quantum computer

Result: Quantum computers were faster however not more powerful than classical computers



## 2.4 Primitive recursive functions

### Basic functions

□  $O(x) = 0$

□  $S(x) = x + 1$

□  $I_k^n(x_1, \dots, x_n) = x_k, k \leq n$

### Basic operations

□ Superposition

$$h(x_1, \dots, x_n) = f(g_1(x_1, \dots, x_n), \dots, g_k(x_1, \dots, x_n))$$

□ Primitive recursion

$$h(x_1, \dots, x_n, 0) = f(x_1, \dots, x_n)$$

$$h(x_1, \dots, x_n, y + 1) = g(x_1, \dots, x_n, y, h(x_1, \dots, x_n, y))$$



# Primitive recursive functions

A function is **primitive recursive** if it could be obtained from the basic functions by means of the operations superposition and primitive recursion applied finitely many times.

Examples:

$$\square x + 0 = 0$$

$$x + (y + 1) = (x + y) + 1$$

$$\square x \cdot 0 = 0$$

$$x \cdot (y + 1) = x \cdot y + x$$





# Primitive recursive and $\mu$ -recursive functions

$\mu$ -operation:

$$f(x_1, \dots, x_n) = \mu z [g(x_1, \dots, x_n, z) = 0] \Leftrightarrow$$
$$(\forall y < z)(g(x_1, \dots, x_n, y) > 0) \ \& \ g(x_1, \dots, x_n, z) = 0$$

A function is  **$\mu$ -recursive** if it could be obtained from the basic functions by means of the operations superposition, primitive recursion and  $\mu$ -operation applied finitely many times.

Example: nowhere defined function  $\emptyset(x) = \mu z [S(x) = 0]$ .

**Theorem** The class of  $\mu$ -recursive functions is exactly the class of the computable functions with TM.



## 2.5 The Ackermann function

[Ackermann 1928, Hermes]

**Function**  $a(x, y)$

**if**  $x = 0$  **then return**  $y + 1$

**if**  $y = 0$  **then return**  $a(x - 1, 1)$

**return**  $a(x - 1, a(x, y - 1))$



**Proposition:**  $a$  is a total, TM-computable function

**Proof:**

Recursion  $\rightsquigarrow$  Stack by RAM  $\rightsquigarrow$  TM



# Totality of the Ackermann function

**Proof:** Induction on the **lexicographical order** of  $(x, y)$ :

**Base of induction:**  $a(0, y) = y + 1$

**Induction step for  $y = 0$ :**

$$a(x, 0) = a(x - 1, 1),$$

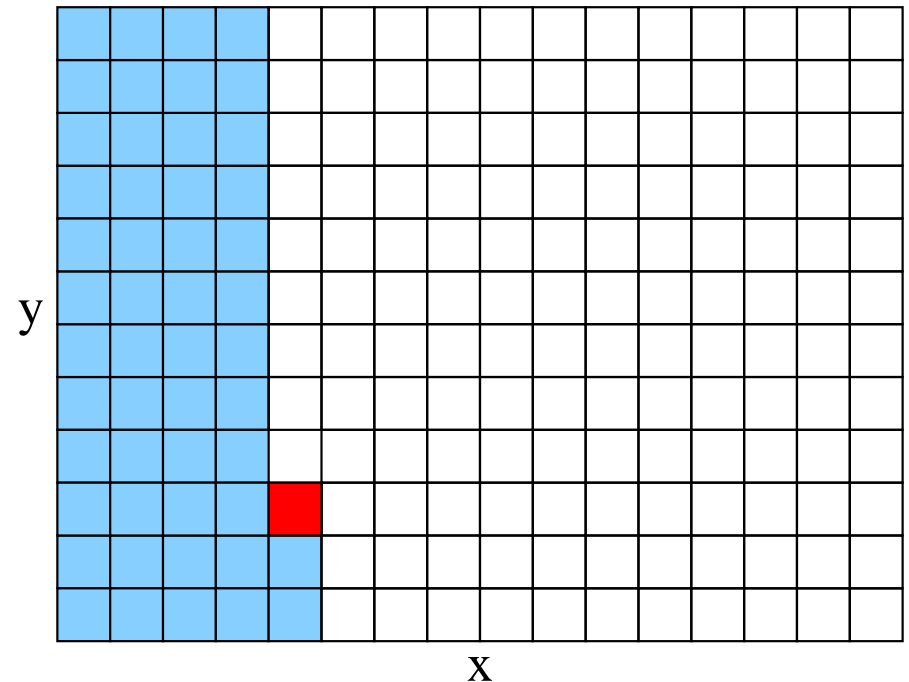
terminates, as  $(x - 1, 1) < (x, 0)$

**Induction step for  $x, y > 0$ :**

$a(x - 1, a(x, y - 1))$  terminates as

$(x, y - 1) < (x, y)$  and

$(x - 1, a(x, y - 1)) < (x, y)$





# How big numbers could compute a Loop program?

## Definition:

Let for one Loop program  $P$

$\mathbf{x} = (x_0, x_1, \dots)$  the variable vector by which the program **starts**. Here arbitrary!

$\mathbf{x}' = (x'_0, x'_1, \dots)$  the variable vector by which the program **ends**.

$$f_P(\mathbf{x}) := \sum_{i \geq 0} x'_i$$

$$f_P(n) := \max \left\{ f_P(\mathbf{x}) : \sum_{i \geq 0} x_i \leq n \right\}$$



# The Ackermann function is **not** Loop-computable

**Proof:** Assume that  $a$  is Loop-computable.

—→  $a(n, n) = g(n)$  is computable by one Loop-program  $G$ .

—→  $a(n, n) = g(n) \leq f_G(n)$

But we will show:

**Lemma E:**  $\forall$  Loop-program  $P : \exists k : \forall n \in \mathbb{N} : f_P(n) < a(k, n)$ .

A contradiction.



## Example

$$a(0, y) = y + 1$$

$$\begin{aligned} a(1, y) &= a(0, a(1, y - 1)) = a(1, y - 1) + 1 = \\ & a(0, a(1, y - 2)) + 1 = a(1, y - 2) + 2 = \dots = \\ & a(1, 0) + y = y + a(0, 1) = y + 2 \end{aligned}$$

$$\begin{aligned} a(2, y) &= a(1, a(2, y - 1)) = 2 + a(2, y - 1) = \dots \\ &= 2y + a(2, 0) = 2y + a(1, 1) = 2y + 3 \end{aligned}$$



## Example

$$a(2, y) = 2y + 3$$

$$a(3, y) = a(2, a(3, y - 1)) = 2a(3, y - 1) + 3$$

$$= 2a(2, a(3, y - 2)) + 3 = 4a(3, y - 2) + 3(1 + 2)$$

$$= 4a(2, a(3, y - 3)) + 3(1 + 2) = 8a(3, y - 3) + 3(1 + 2 + 4)$$

$$= \dots = 2^y \underbrace{a(3, 0)}_{=5} + 3 \underbrace{(1 + 2 + \dots + 2^{y-1})}_{=2^y - 1}$$

$$= 2^{y+3} - 3$$





# Example

$$a(3, y) = 2^{y+3} - 3$$

$$a(4, y) = a(3, a(4, y-1)) = 2^{a(4, y-1)+3} - 3$$

$$= 2^{a(3, a(4, y-2))+3} - 3 = 2^{2^{a(4, y-2)+3} - 3 + 3} - 3$$

$$= 2^{2^{a(3, a(4, y-3))+3}} - 3 = 2^{2^{2^{a(4, y-3)+3} - 3 + 3}} - 3$$

$$= \dots = 2^{\overbrace{a(4, 0)}^{=a(3, 1)=2^{1+3}-3} + 3} - 3 = 2^{2^{16}} - 3$$

$$a(4, 2) = 2^{2^{16}} - 3 = 2^{65536} - 3$$



Monotonicity of the Ackermann function

**Lemma A:**  $y < a(x, y)$

**Lemma B:**  $a(x, y) < a(x, y + 1)$

**Lemma C:**  $a(x, y + 1) \leq a(x + 1, y)$

**Lemma D:**  $a(x, y) < a(x + 1, y)$

**Lemma BD:**  $a(x, y) \leq a(x', y')$  if  $x \leq x'$  and  $y \leq y'$

**Proof:** Exercise. Induction,...



**Lemma E:**  $\forall$  Loop-program  $P : \exists k : \forall n \in \mathbb{N} : f_P(n) < a(k, n)$ .

**Proof:** Induction on the definition of the Loop-Programs.

**Base of Induction:**

$$f_{\text{emptyprogram}}(n) = n < n + 1 = a(0, n).$$

$$f_{x:=y+c}(n) \leq 2n + 1 < 2n + 3 = a(2, n)$$



**Induction step for  $P = P_1; P_2$ :**

By IH  $\exists k_1, k_2 : f_{P_1}(n) < a(k_1, n) \wedge f_{P_2}(n) < a(k_2, n)$ .

Let now  $k_3 = \max \{k_1 - 1, k_2\}$ . It holds:

$f_P(n) \leq f_{P_2}(f_{P_1}(n))$	Def. $f_P$
$< a(k_2, f_{P_1}(n))$	IH
$< a(k_2, a(k_1, n))$	IH, monotone
$\leq a(k_3, a(k_3 + 1, n))$	monotone
$= a(k_3 + 1, n + 1)$	Def. $a$
$\leq a(k_3 + 2, n)$	Lemma B



**Induction step for  $P = \text{loop } x_i \text{ do } Q$ :**

Let  $x_i$  be not in  $Q$  (ex. in a new variable copied).

By IH  $\exists k : f_Q(n) < a(k, n)$ .

Let  $\mathbf{x}$  be an input by  $f_P(\mathbf{x})$  and it will be maximized by  $\sum_j x_j \leq n$ .

Let  $m \leq n$  be the value of  $x_i$  in  $\mathbf{x}$

$$\begin{aligned}
 f_P(n) &= f_P(\mathbf{x}) \\
 &\leq \underbrace{f_Q(f_Q(\cdots f_Q(n-m) \cdots))}_{m \text{ times}} + m && \text{Def. } m \\
 &\leq a(k, \underbrace{f_Q(f_Q(\cdots f_Q(n-m) \cdots))}_{m-1 \text{ times}}) + m - 1 && \text{IH} \cdots \\
 &\leq \underbrace{a(k, a(k, \cdots a(k, n-m) \cdots))}_{m \text{ times}} + m - m && \text{IH}
 \end{aligned}$$



**Induction step for  $P = \text{loop } x_i \text{ do } Q$ :**

$$\begin{aligned}
 f_P(n) &\leq \underbrace{a(k, a(k, \dots a(k, n - m) \dots))}_{m \text{ times}} \\
 &< \underbrace{a(k, a(k, \dots a(k, a(k + 1, n - m) \dots))}_{m-1 \text{ times}} && \text{monotone} \\
 &= \underbrace{a(k, a(k, \dots a(k, a(k + 1, n - m + 1) \dots))}_{m-2 \text{ times}} && \text{Def. } a \\
 &= \dots = a(k + 1, n - 1) && \text{Def. } a \\
 &\leq a(k + 1, n) && \text{monotone}
 \end{aligned}$$

qed.



# More fast growing functions

$k \mapsto \max \{ f_P(0) : P \text{ is term. While-program with } k \text{ instr.} \}$

## Busy Beaver

$\Sigma(n)$ :  $\max_{\delta} \# \text{ones}$ , those on the tape stand after a DTM  
 $(\{1, \dots, n, Z\}, \emptyset, \{0, 1\}, \delta, 1, \{Z\})$  halts (empty input).

$S(n)$ :  $\max_{\delta} \# \text{transition}$ , which one DTM  
 $(\{1, \dots, n, Z\}, \emptyset, \{0, 1\}, \delta, 1, \{Z\})$  that halts made (empty input).



# Busy Beaver

Suppose that  $S(n)$  is a computable function.

**EvalS** : TM, evaluating  $S(n)$

**Clean** cleaning the sequence of 1s

**Double**  $n + n$

**Double | EvalS | Clean** - with  $n_0$  states

**Create**  $n_0$  - creating  $n_0$  1s on an initially blank tape.

**BadS** : **Create**  $n_0$  | **Double** | **EvalS** | **Clean** -  $N = n_0 + n_0$  states

Starting with an initially blank tape it first creates a sequence of  $n_0$  1s and then doubles it, producing a sequence of  $N$  1s. Then BadS will produce  $S(N)$  1s on tape, and at last it will clear all 1's and then halt.

But the cleaning will continue at least  $S(N)$  steps, so the time of BadS is strictly greater than  $S(N)$ , a contradiction with the def. of  $S(n)$ .





# More knowledge about the Busy Beaver

$\Sigma(n)$ ,  $S(n)$  are total **not computable** functions.

$n$	$\Sigma(n)$	$S(n)$
1	1	1
2	4	6
3	6	21
4	13	107
5	$\geq 4\,098$	$\geq 47\,176\,870$
6	$> 1.29 \cdot 10^{865}$	$> 3 \cdot 10^{1730}$

[<http://www.drb.insel.de/~heiner/BB/>],

[<http://www.logique.jussieu.fr/~michel/ha.html>]



# Record holder

n:	6	5	4	3	2
q/in	0 1	0 1	0 1	0 1	0 1
A:	B1R F0L;	B1R C1L;	B1R B1L;	B1R Z1L;	B1R B1L
B:	C0R D0R;	C1R B1R;	A1L C0L;	B1L C0R;	A1L Z1R
C:	D1L E1R;	D1R E0L;	Z1R D1L;	C1L A1L;	
D:	E0L D0L;	A1L D1L;	D1R A0R;		
E:	A0R C1R;	Z1R A0L;			n=1:
F:	A1L Z1R;				H1N ---



# Slowly growing functions

## Inverse Ackermann function

$$\alpha(m, n) := \min \{i \geq 0 : a(i, \lfloor m/n \rfloor) > \log_2 n\}$$

For each realistic case is valid  $\alpha(m, n) \leq 5$ .

But  $\alpha(m, n) \notin O(1)$ .

An important data structure has overall complexity  $m\Theta(\alpha(m, n))$  for  $m$  operations and  $n$  objects:



## Union-Find Data Structure

**Class** UnionFind( $n : \mathbb{N}$ ) // Maintains a partition of  $1..n$

**Function** find( $i : 1..n$ ) :  $1..n$

**assert**  $\forall i, j \in \{1, \dots, n\} :$

find( $i$ ) = find( $j$ )  $\Leftrightarrow$   $i, j$  are in the same part

**Procedure** union( $i, j : 1..n$ )

$A :=$  the part with  $i \in A$

$B :=$  the part with  $j \in B$

join  $A$  and  $B$  to a single part

Application: for example **Kruskal's** algorithm for **minimal spanning tree**



**Class** UnionFind( $n : \mathbb{N}$ )

**parent** =  $[1, 2, \dots, n]$  : **Array**  $[1..n]$  **of**  $1..n$

**gen** =  $[0, \dots, 0]$  : **Array**  $[1..n]$  **of**  $0.. \log n$  // generation of leaders

**Function** **find**( $i : 1..n$ ) :  $1..n$

**if**  $\text{parent}[i] = i$  **then return**  $i$

**else**  $i' := \text{find}(\text{parent}[i])$

$\text{parent}[i] := i'$  // path compression

**return**  $i'$

**Procedure** **link**( $i, j : 1..n$ )

**assert**  $i$  and  $j$  are leaders of different subsets

**if**  $\text{gen}[i] < \text{gen}[j]$  **then**  $\text{parent}[i] := j$  // balance

**else**  $\text{parent}[j] := i$ ; **if**  $\text{gen}[i] = \text{gen}[j]$  **then**  $\text{gen}[i]++$

**Procedure** **union**( $i, j : 1..n$ )

**if**  $\text{find}(i) \neq \text{find}(j)$  **then**  $\text{link}(\text{find}(i), \text{find}(j))$



## **2.6 Halting problem, Undecidability, Reducibility**

- Gödel numbering: TMs could processed themselves as an input
- Important example: Universal TM
- Diagonal argument: a undecidable language
- Reductions: it shows that other problems are undecidable.

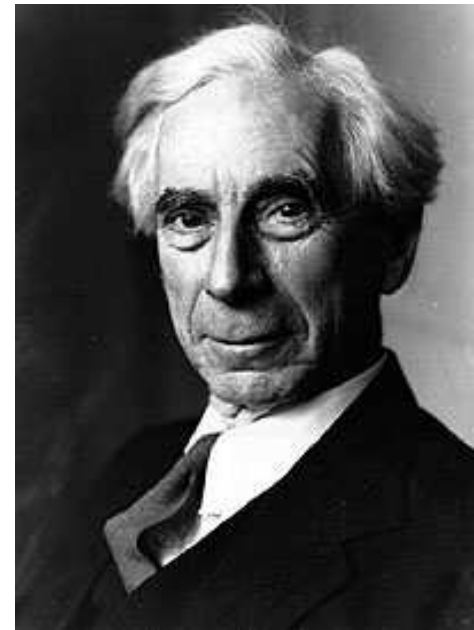


# Paradoxes and Self reference

The barber of a small town  
shaves all and only those men  
who do not shave themselves.

.

Does the barber shave himself?





## Paradoxes and Self reference

Maintained Daniel Dösentrieb an all-knowing machine to have invented.

Yes No

One places a yes/no Question and the answer lights up.

Dagobert Duck buys the machine.

Wants to pay however only with more correct function.

It places the Question to the machine:

Will you answer with no?

What happens?





# Decidability

$A \subseteq \Sigma^*$  is decidable (computable) if  
the **characteristic function**  $\chi_A$  is computable.

$$\chi_A(w) = \begin{cases} 1 & \text{if } w \in A \\ 0 & \text{if } w \notin A \end{cases}$$



# Semi-decidability

$A \subseteq \Sigma^*$  is **semi**-decidable if  
the „**half**“ characteristic function  $\chi_A$  is computable.

$$\chi_A(w) = \begin{cases} 1 & \text{if } w \in A \\ \perp & \text{if } w \notin A \end{cases}$$



**Proposition:**  $A \subseteq \Sigma^*$  **decidable**  $\Leftrightarrow$

$A$  and  $\bar{A}$  are both **semi-decidable**

**Proof:** Let TM

$M_A$  acceptor for  $A$  and

$M_{\bar{A}}$  acceptor for  $\bar{A}$

**for**  $s := 1$  **to**  $\infty$  **do**

**if**  $M_A$  halts in  $s$  steps **then** Accept

**if**  $M_{\bar{A}}$  halts in  $s$  steps **then** Reject



# Recursive enumerability

$A \subseteq \Sigma^*$  **recursively enumerable** if

$A = \emptyset$  or  $\exists$  total computable function  $f : \mathbb{N} \rightarrow \Sigma^*$  :

$$A = \{f(1), f(2), f(3), \dots\}$$

**Proposition:**  $A$  is recursively enumerable  $\Leftrightarrow A$  is semi-decidable



# Recursive enumerability $\longrightarrow$ semi-decidable

Let  $A$  is recursively enumerable by means of  $f$ .

**Function**  $\chi'_A(x)$

**for**  $s := 1$  **to**  $\infty$  **do**

**if**  $f(n) = x$  **then return** 1



## Semi-decidable $\longrightarrow$ recursively enumerable

- Consider  $\pi(k, m) = 2^k(2m + 1) - 1$  - a coding function for all pairs of natural numbers.
- Each natural number  $n$  is a code of exactly one pair  $n = \pi(k, m)$ .
- Let  $L(\pi(m, k)) = m$  and  $R(\pi(m, k)) = k$  be the decoding functions.
- $\pi, L, R$  are computable functions.
- Consider the sequence of all words in  $\Sigma^*$ :  
 $\alpha_0, \alpha_1, \dots, \alpha_i, \dots$   
in the following order  $|\alpha_i| < |\alpha_{i+1}|$  or  $|\alpha_i| = |\alpha_{i+1}|$  and  $\alpha_i$  is lexicographically less than  $\alpha_{i+1}$ .
- For example:  $a, b, aa, ab, ba, bb, \dots$



## Semi-decidable $\longrightarrow$ recursively enumerable

Case  $A = \emptyset$ : trivial.

Otherwise we give one function  $f : \mathbb{N} \rightarrow \Sigma^*$  with the range  $A$ .

### Function $f(n)$

$a :=$  some fixed element of  $A$

interpret  $n$  as a pair  $n = \pi(m, k)$

Consider the word  $u = \alpha_m$

**if** an acceptor  $M$  for  $A$  accepts  $u$  in  $\leq k$  steps **then return**  $u$

**else return**  $a$



## Semi-decidable $\longrightarrow$ recursively enumerable

- $f$  ist total
- $f$  gets only values from  $A$
- $\forall u \in A \exists k : M$  accepts  $u$  in  $k$  steps
- $f(\pi(m, k)) = \alpha_m$



Exercise: Prove that if  $A$  is infinite, then  $A$  is decidable iff there exists a total computable function  $f : \mathbb{N} \rightarrow \Sigma^*$  :

$$A = \{f(0) < f(1) < f(2) < \dots\} \text{ in a lexicographical order.}$$





## Equivalent statements

- $A$  is recursively enumerable
- $A$  is semi-decidable
- $A$  is of Chomsky type 0
- $A = L(M)$  for TM  $M$
- $\chi'_A$  is Turing-, While-, RegM., RAM, ... computable
- $A$  is a domain of one (partial) computable function
- $A$  is a range of a computable function



## **2.7 Non decidable Problems**



# Enumeration of Turing-machines

Consider  $T = (Q, \Sigma, \Gamma, \delta, s, F)$ . Let:

- $Q = \{1, \dots, n\}$
- $\Sigma = \{0, 1\}$
- $\Gamma = \{0, 1, \sqcup\}, \sqcup = 2$
- $s = 1$
- $F = \{2\}$

for appropriate constant  $n$



## Goödel number $\langle M \rangle$ of Turing machine $M$

Define the following strings in  $\{0, 1\}$ :

Code  $\delta(q, a) = (r, b, d)$  by  $0^q 1 0^{a+1} 1 0^r 1 0^{b+1} 1 0^d$

where  $d$  is the code of the directions:  $N = 1, L = 2, R = 3$ .

The Turing-machine will be coded by binary numbers:

$$111\text{code}_1 11\text{code}_2 11 \dots 11\text{code}_z 111,$$

$\text{code}_i$  for  $i = 1, \dots, z$ : all values of function  $\delta$  in arbitrary order are written.

Convention:

$n$  is not a Goödel number of a TM,

$\rightarrow n$  describes one TM, which accepts the  $\emptyset$



# The Gödel numbers

## Observation

The Gödel numbering describes an  
**injective** mapping of **standardised** TMs to natural numbers



## Example

Let  $M = (\{1, 2, 3\}, \{0, 1\}, \{0, 1, \sqcup\}, \delta, 1, \{2\})$ , with

$$\delta(1, 1) = (3, 0, R)$$

$$\delta(3, 0) = (1, 1, R)$$

$$\delta(3, 1) = (2, 0, R)$$

$$\delta(3, \sqcup) = (3, 1, L)$$

$\langle M \rangle$  is then:

11101001000101000110001010100100011000100100101000

1100010001000100100111



## Universal Turing machine

$$U = (Q_u, \{0, 1\}, \{0, 1, \sqcup\}, \delta_u, s_u, F_u)$$

**input:**  $\langle M \rangle w$

$M$  is the simulated TM,  $w$  is the **binary coded** input.

$U$  simulates  $M$  on  $w$ .

$U$  accepts  $\langle M \rangle w$  if  $M$  accepts  $w$



# Universal Turing machine

3 Tapes:

1.  $\langle M \rangle$
2. the state  $q_M$  of  $M$  unary coded
3. the content of the tape  $w$  of  $M$





# Universal Turing machine

```
if prefix  $v$  of  $w$  represents a TM then // 111tuple111
  move  $v$  on the tape  $\langle M \rangle$ 
   $q_M := 1$  // the initial state of  $M$ 
  while  $q_M \neq 2$  do // final state of  $M$ 
    run to the beginning of  $\langle M \rangle$ 
    foreach  $(q, a, r, b, d) \in \langle M \rangle$  do // field by field
      if  $q = q_M$  then // compare with  $q_M$ 
        if input symbol of the tape 3 =  $a$  then
           $q_M := r$  // copy on the state tape
          put  $b$  on the tape 3
          the moving on the tape 3 is according to the chosen  $d$ 
```



# Universal Turing machine: 3tape $\rightarrow$ 1tape

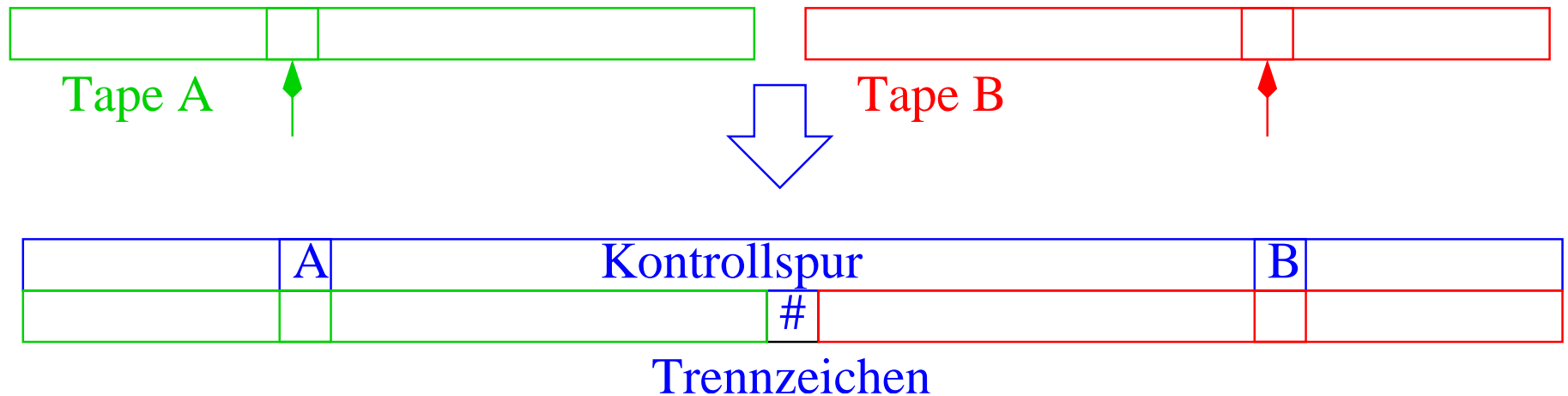
Actually we know how it works.

Problem: **tape alphabet** independently of  $M$  but  $> \{0, 1\}$

**Code** tape alphabet by constantly many  $\{0, 1\}$ .

Problem: **input** has to be **coded** too.

This settles a upstream **coding TM**.





## The diagonal language $L_d$

Let  $M_i$  is the TM with  $\langle M_i \rangle = i$ .

Let  $w_i$  is the binary representation of  $i$ .

$$L_d := \{w_i : M_i \text{ does not accept } w_i\}$$



## Proposition: $L_d$ is undecidable

### Proof:

Assume:

$L_d = \{w_i : M_i \text{ does not accept } w_i\}$  is decidable.

Def. „decidable“  
 $\rightarrow \exists M_i : M_i$  **accepts**  $L_d$  and **halts** always.

What does  $M_i$  do with  $w_i$ ?

$w_i \in L_d \xrightarrow{\text{Def. } M_i} w_i$  will be accepted.  $\xrightarrow{\text{Def. } L_d} w_i \notin L_d$

$w_i \notin L_d \xrightarrow{\text{Def. } M_i} w_i$  will **not** be accepted.  $\xrightarrow{\text{Def. } L_d} w_i \in L_d$

Both lead to a **contradiction**.



## Corollary:

$\bar{L}_d = \{w_i : M_i \text{ accepts } w_i\}$  is undecidable

Assume:  $\bar{L}_d$  is decidable.

$\rightarrow \exists M : M$  accepts  $\bar{L}_d$

modify  $M \rightsquigarrow M'$  so  $M'$  accepts  $L_d$

(Exchange accepts/does not accept for the final state).

A contradiction.

Note that  $\bar{L}_d$  is semi-decidable. Run the universal machine on  $\langle M_i \rangle w_i$ .

**Corollary:  $\bar{L}_d$  is semi-decidable and not decidable.**



## Undecidable problems

Does not exist a program  $P$ , such that

$$\text{halts}(\langle P \rangle, X) = \begin{cases} \text{yes} & \text{if } P(X) \text{ halts} \\ \text{no} & \text{otherwise} \end{cases}$$

Assume that there is:

$D(X) = \mathbf{if\ halts}(X, X) \mathbf{\ then\ loop}(X) \mathbf{\ else\ halt}$

$D(\langle D \rangle) = \mathbf{if\ halts}(\langle D \rangle, \langle D \rangle) \mathbf{\ then\ loop}(\langle D \rangle) \mathbf{\ else\ halt}$

If  $\text{halts}(\langle D \rangle, \langle D \rangle) = \text{yes}$ , then  $\downarrow D(\langle D \rangle)$ , but  $\uparrow D(\langle D \rangle)$ .

If  $\text{halts}(\langle D \rangle, \langle D \rangle) = \text{no}$ , then  $\downarrow D(\langle D \rangle)$ , but  $\uparrow D(\langle D \rangle)$ .



## Undecidable problems

There is no program **is-safe**, which is not a virus and:

$$\text{is-safe}(\langle P \rangle, X) = \begin{cases} \text{yes} & \text{if } P(X) \text{ does not start virus} \\ \text{no} & \text{otherwise} \end{cases}$$

$D(X) = \mathbf{if\ is-safe}(X, X) \mathbf{then\ virus}(X) \mathbf{else\ "Hello"}$

$D(\langle D \rangle) = \mathbf{if\ halts}(\langle D \rangle, \langle D \rangle) \mathbf{then\ loop}(\langle D \rangle) \mathbf{else\ halt}$

If  $\text{is-safe}(\langle D \rangle, \langle D \rangle) = \text{yes}$ , then  $D(\langle D \rangle)$  is not activated virus, but  $\text{virus}(\langle D \rangle)$  is activated.

If  $\text{is-safe}(\langle D \rangle, \langle D \rangle) = \text{no}$ , then virus is activated  $\Rightarrow$  is-safe is activated a virus.



# Halting problem

$H := \{w_i v : M_i \text{ halts on } v\}$

**Proposition:**  $H$  is not decidable.

**Proof:** Assume that  $H$  is decidable.

We construct one TM, by which  $\bar{L}_d$  will be accepted.

$w_i \in \bar{L}_d?$

$\Leftrightarrow M_i$  accepts  $w_i$ .

$\Leftrightarrow w_i w_i \in H \wedge M_i$  accepts  $w_i$ .

This we could do by means of one **TM for  $H$**  and one **universal TM**.

A contradiction.





# The bounded Halting problem

## Proposition:

$\{w_i v \# w_j : M_i \text{ halts on } v \text{ in at most } j \text{ steps}\}$

is decidable.

## Proof:

Let the universal TM  $U$  run on  $w_i v$

in  $j$  simulated steps.



## More undecidable problems

Given Turing machines  $T, T'$

$L(T) = \emptyset?$

emptiness

$|L(T)| = \infty?$

infiniteness

$L(T) = \Sigma^*?$

completeness

$L(T) = L(T')?$

equivalence



## Undecidability of emptiness

Assume that  $M$  accepts  $\{i : L(M_i) = \emptyset\}$

We will show that then  $\bar{L}_d$  will be decidable.

$w_i \in \bar{L}_d = \{w_i : M_i \text{ accepts } w_i\}$ ?

Construct a Turing machine  $T(i)$ :

erase input

run  $M_i$  on  $w_i$

**if** state( $M_i$ )  $\neq 2$  **then** endless loop

Now is  $L(T(i)) \neq \emptyset$  if  $w_i \in \bar{L}_d$ .

Also  $\bar{L}_d$  is decidable.

A contradiction



## Undecidability of completeness

$$L(T) = \Sigma^*?$$

Similar proof as the emptiness! Here  $T(i)$  ignores its input!



# Meta programming

The proof of the emptiness get one program and transforms it to another.

Important programming technics.



## Rice theorem

Let  $\mathbf{R}$  be the class of all Turing computable functions.

**Theorem** Let  $\mathbf{S}$  be a nontrivial class of Turing computable functions ( $S \neq \emptyset, S \neq R$ ). Then the set

$$C(S) = \{w \mid M_w \text{ computes a function } \in S\}$$

is not decidable.

Proof:

Assume that  $C(S)$  is decidable.

Case 1.  $\emptyset \notin S$  and  $f \in S$ . Then there is a Turing machine  $M_f$  that computes  $f$ .



Let  $M$  be a Turing machine and  $w$  is a word.

$$T_{M,w}(x) = \begin{cases} M_f(x) & \text{if } \downarrow M(w) \\ \perp & \text{otherwise} \end{cases}$$

Then:

if  $\downarrow M(w) \Rightarrow (\forall x) T_{M,w}(x) = f(x)$

if  $\uparrow M(w) \Rightarrow T_{M,w}(x) = \emptyset$ .

$$T_{M,w} \in S \Leftrightarrow \downarrow M(w).$$

$$\langle T_{M,w} \rangle \in C(S) \Leftrightarrow \langle M \rangle w \in H.$$

A contradiction.

Case 2.  $\emptyset \in S$ . Consider:  $R \setminus S$  is non trivial.

Then  $C(\bar{S})$  is undecidable, and hence  $C(S)$  is undecidable.



## Self-reference

Construct a TM which ignores the input and prints out a copy of its own description. We call this machine **SELF**.

**Lemma** : There is a computable function  $q(w) = \langle P_w \rangle$ -the description of  $P_w$  and halts, where  $\forall x P_w(x) = w$ .

(a) On input  $w$  construct the following TM

$P_w$ :

1. Erase the input.
2. Write  $w$  on the tape.
3. Halt.

(b) Output  $\langle P_w \rangle$ .





# Self-reference

The construction of **SELF**:  $\langle SELF \rangle = \langle AB \rangle$ .

The job of  $A$ : print  $\langle B \rangle$

The job of  $B$ : print  $\langle AB \rangle$

$A = P_{\langle B \rangle} [\Rightarrow q(\langle B \rangle) = \langle A \rangle]$ .

$B =$  On input  $\langle M \rangle$ :

1. Compute  $q(\langle M \rangle) = \langle M' \rangle$ .
2. Combine the result with  $\langle M \rangle$  to receive  $\langle M'M \rangle$ .
3. Print and halt.

Then **SELF**:

1.  $A : \langle B \rangle$ ;
2.  $B : q(\langle B \rangle) = \langle A \rangle$ ;
3.  $B : \langle A \rangle \langle B \rangle \rightsquigarrow \langle AB \rangle$



# Post Correspondence Problem (PCP)

Given: finite sequences of pairs of words:

$$K = (x_1, y_1) \cdots (x_n, y_n) \in (\Sigma^+ \times \Sigma^+)^*$$

Question:

$$\exists i_1, \dots, i_k \in \{1, \dots, n\} : x_{i_1} \cdots x_{i_k} = y_{i_1} \cdots y_{i_k}$$

?



## Example

- $K = ((1, 111), (10111, 10), (10, 0))$  has the solution  
(2, 1, 1, 3), it holds:

$$x_2 x_1 x_1 x_3 = 101111110 = 101111110 = y_2 y_1 y_1 y_3$$

- $K = ((10, 101), (011, 11), (101, 011))$

has no solution:

(133...)



## Example [Mirko Rahn]

□  $K = ((0, 011), (001, 1), (1, 00), (11, 110))$

has the shortest solution of length 595:

1211212112112121121203212112130321203311213111212031212121121312112  
0321211210321213032120211112033112132121212131112121121111203203121  
2120321211212121213131203213032120320321031213033112131302103201111  
1212112111200210121212121203212112121212021203203213032121120321321  
3130330321213030312113113032001032121112121131212303212120321210321  
0110321303230212123033101120313102121213121020320312021313121321112  
1032111121212021111212121203213212121211203213031120321121213033121  
2131121211203310320312120321213102101032130321020212303302132101100  
30212122113203121210103202123132110311212312120303213303003



## PCP is semidecidable

Algorithm:

```
Procedure PCP( $(x_1, y_1) \cdots (x_n, y_n)$ )  
  for  $k := 1$  to  $\infty$  do  
    foreach  $i_1 \cdots i_k \in \{1..n\}^k$  do  
      if  $x_{i_1} \cdots x_{i_k} = y_{i_1} \cdots y_{i_k}$  then  
        output  $i_1 \cdots i_k$   
      return
```



## **PCP is undecidable**

Proof see Schönig.

Idee: assume solvable  $\rightarrow$  Halting problem solvable

$$x_{i_1} \dots x_{i_k} = y_{i_1} \dots y_{i_k} = (s)w\#\dots\#u(f)v$$

describes an accepting sequence of TM-configurations



# Hilbert's 10. Problem — Diophantine equations

Given:

**multivariable** polynom  $p$

with integer coefficients.

Question [Hilbert 1900]:



$$\exists x_1, \dots, x_n \in \mathbb{Z} : p(x_1, \dots, x_n) = 0?$$

[Matiyasevich 1970]: The problem is undecidable.



# Closure of decidable languages

Closed under

$\cup$

$\cap$

$\cdot$





# Closurenens of **semi**decidable languages

Closed under

$\cup$

$\cap$

nicht abgeschlossen unter

$\bar{\phantom{x}}$



## Closurenens of **semi**decidable languages under **union**

Let  $M_1$  and  $M_2$  be acceptors for  $L_1$  and  $L_2$

Acceptor for  $L_1 \cup L_2$ :

**for**  $j := 1$  **to**  $\infty$  **do**

**if**  $M_1$  accepts  $w$  after  $j$  steps **then** accept

**if**  $M_2$  accepts  $w$  after  $j$  steps **then** accept



# Nonclosureness of **semi**decidable languages under complement

Assume : closed under complement.

Let  $M$  be an acceptor for  $L_d$ ,  $\bar{M}$  acceptor for  $\bar{L}_d$

**Function** isInLd( $w$ )

**for**  $j := 1$  **to**  $\infty$  **do**

**if**  $M$  accepts  $w$  after  $j$  steps **then return** true

**if**  $\bar{M}$  accepts  $w$  after  $j$  steps **then return** false

Either of them halts.

$\rightarrow L_d$  decidable.

A contradiction.



## Application of the parallel realization

Several algorithms  $A_1, \dots, A_k$ , to solve a difficult problem (slowly, fast, never).

Load all algorithms (pseudo) simultaneously out.

- If all are equivalent fast we have wasted factor  $k$  cost of computation
- + If one is never ready we have infinitely much won.
- + With very different running time we could win on the average.
- + We could use parallel processors.
- + Often we could save a part of the redundant work.



## Parallel realization

Application: Theorem prover, Program/Hardware-verifier, difficult planning and optimization problems

Example: Prepossessing predicate formulas in time

$$\mathcal{O}\left(\left(\frac{4}{3}\right)^{\#\text{Variables}}\right).$$

[U. Schöningh, A Probabilistic Algorithm for  $k$ -SAT and Constraint Satisfaction Problems, FOCS, 1999]