



1.3 Context-free languages

Example

Arithmetical expressions: $G = (\{E, T, F\}, \{a, +, *, (,)\}, P, E)$ with
 $P = \{E \rightarrow T, E \rightarrow E + T, T \rightarrow F, T \rightarrow T * F, F \rightarrow a, F \rightarrow (E)\}$

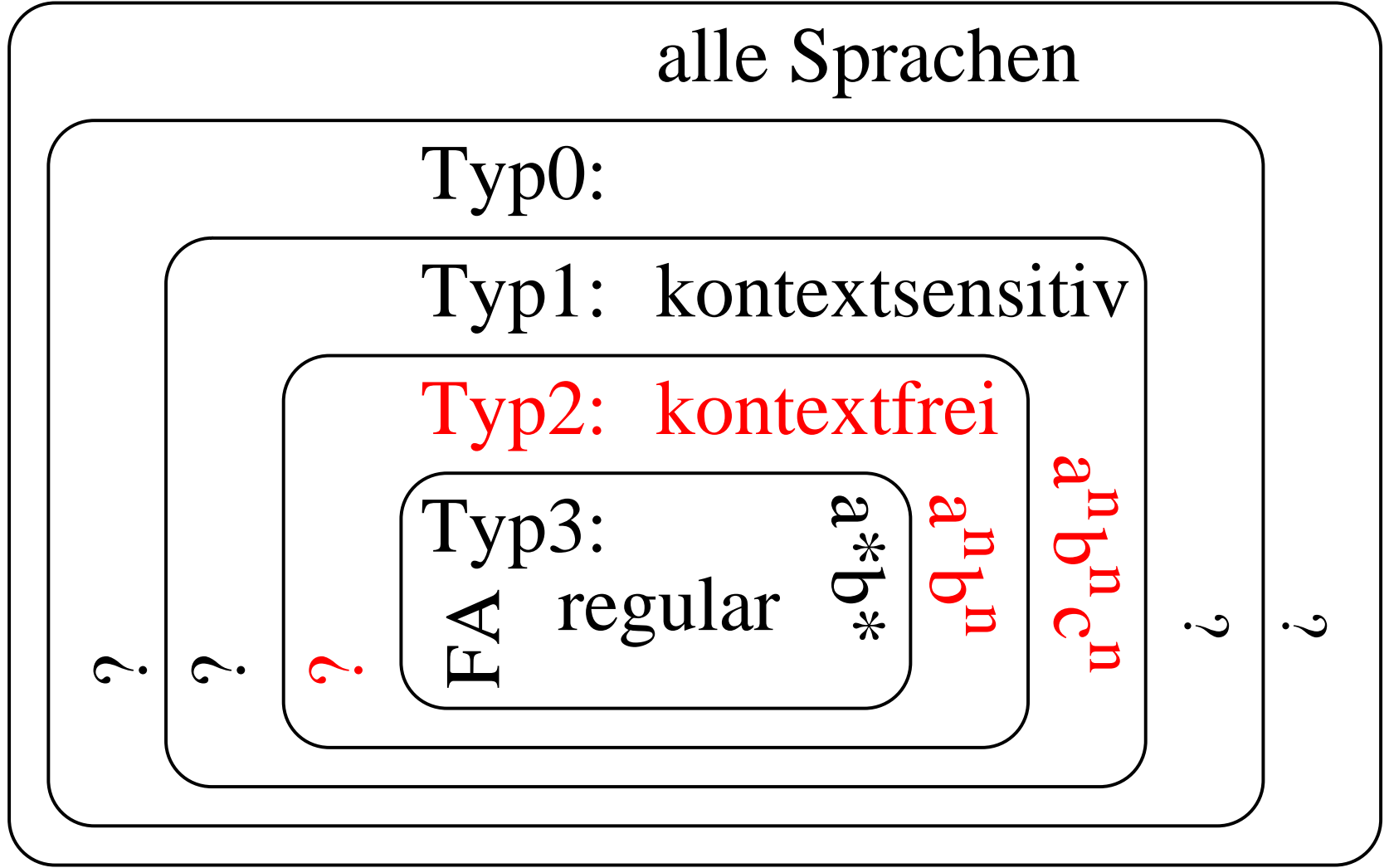
$\{a^n b^n : n \geq 1\}$: $G = (\{S\}, \{a, b\}, \{S \rightarrow ab, S \rightarrow aSb\}, S)$

Syntax of program languages: Pascal, C, ...



Maschinenmodelle

Sprachbeispiele





Overview

1. Normal forms
2. Negative results by means of the **Pumping-Lemma**
3. Closer properties
4. Word problem
5. Pushdown automata



1.3.1 Normal forms

- ϵ -elimination
- Chomsky normal form (very simple productions)
- Greibach normal form



ε -Elimination

$\forall G = (V, \Sigma, P, S)$ with $P \subseteq V \times (V \cup \Sigma)^*$:

$\exists G' : L(G) = L(G')$, G' is of type 2



ε elimination for $G = (V, \Sigma, P, S)$

```

 $V_\varepsilon := \{\}$ 
while  $\exists X \rightarrow \alpha \in P : X \notin V_\varepsilon \wedge \alpha \in V_\varepsilon^*$  do  $V_\varepsilon := V_\varepsilon \cup \{X\}$ 
assert  $V_\varepsilon = \{X \in V : X \xrightarrow{*} \varepsilon\}$ 
while  $\exists X \rightarrow \alpha Y \beta \in P : Y \in V_\varepsilon \wedge X \rightarrow \alpha \beta \notin P$  do
     $P := P \cup X \rightarrow \alpha \beta$  // invariant :  $L(G)$ 
 $P := P \setminus (V \times \{\varepsilon\})$  // invariant :  $L(G) \setminus \{\varepsilon\}$ 
if  $S \in V_\varepsilon$  then return  $(V \cup \{S'\}, \Sigma, P \cup \{S' \rightarrow \varepsilon, S' \rightarrow S\}, S')$ 
else return  $(V, \Sigma, P, S)$ 
    
```

Exercise: Linear time algorithm for detecting of V_ε .

$(\mathcal{O}(|V| + \sum_{X \rightarrow r \in P} |r|))$



Exercise a^*b^*

$$G = (\{S, A, B\}, \{a, b\}, P, S),$$

$$P = \{S \rightarrow AB, A \rightarrow aA, A \rightarrow \varepsilon, B \rightarrow bB, B \rightarrow \varepsilon\}$$

while $\exists X \rightarrow \alpha \in P : X \notin V_\varepsilon \wedge \alpha \in V_\varepsilon^*$ **do** $V_\varepsilon := V_\varepsilon \cup \{X\}$

$$V_\varepsilon : \{\} \rightsquigarrow \{A\} \rightsquigarrow \{A, B\} \rightsquigarrow \{A, B, S\}$$



Exercise a^*b^*

$$G = (\{S, A, B\}, \{a, b\}, P, S),$$

$$P = \{S \rightarrow AB, A \rightarrow aA, A \rightarrow \varepsilon, B \rightarrow bB, B \rightarrow \varepsilon\}$$

$$V_\varepsilon = \{A, B, S\}$$

while $\exists X \rightarrow \alpha Y \beta \in P : Y \in V_\varepsilon \wedge X \rightarrow \alpha\beta \notin P$ **do** $P := P \cup X \rightarrow \alpha\beta$

$$A \rightarrow aA, A \in V_\varepsilon \rightsquigarrow A \rightarrow a$$

$$B \rightarrow bB, B \in V_\varepsilon \rightsquigarrow B \rightarrow b$$

$$S \rightarrow AB, A \in V_\varepsilon \rightsquigarrow S \rightarrow B$$

$$S \rightarrow AB, B \in V_\varepsilon \rightsquigarrow S \rightarrow A$$

$$S \rightarrow A, A \in V_\varepsilon \rightsquigarrow S \rightarrow \varepsilon$$

$$S \rightarrow B, B \in V_\varepsilon \text{ but } S \rightarrow \varepsilon \in P$$

$$P = \{S \rightarrow AB, A \rightarrow aA, A \rightarrow \varepsilon, B \rightarrow bB, B \rightarrow \varepsilon, \\ A \rightarrow a, B \rightarrow b, S \rightarrow B, S \rightarrow A, S \rightarrow \varepsilon\}$$



Exercise a^*b^*

$$G = (\{S, A, B\}, \{a, b\}, P, S),$$

$$P = \{S \rightarrow AB, A \rightarrow aA, A \rightarrow \varepsilon, B \rightarrow bB, B \rightarrow \varepsilon\}$$

$$V_\varepsilon = \{A, B, S\}$$

$$P := \{S \rightarrow AB, A \rightarrow aA, A \rightarrow \varepsilon, B \rightarrow bB, B \rightarrow \varepsilon, \\ A \rightarrow a, B \rightarrow b, S \rightarrow B, S \rightarrow A, S \rightarrow \varepsilon\}$$

$$P := P \setminus (V \times \{\varepsilon\})$$

$$P := \{S \rightarrow AB, A \rightarrow aA, B \rightarrow bB, \\ A \rightarrow a, B \rightarrow b, S \rightarrow B, S \rightarrow A\}$$

$$\text{Return } (\{S', S, A, B\}, \{a, b\}, P, S'),$$

$$P := \{S' \rightarrow \varepsilon, S' \rightarrow S, S \rightarrow AB, A \rightarrow aA, B \rightarrow bB, \\ A \rightarrow a, B \rightarrow b, S \rightarrow B, S \rightarrow A\}$$



Proof of correctness (sketch)

- $X \in V_\varepsilon \longrightarrow X \xRightarrow{*} \varepsilon$: given derivation
- $X \xRightarrow{*} \varepsilon \longrightarrow X \in V_\varepsilon$: induction by the length of derivation
- Loop invariant
- **Termination !**
- Elimination of the ε -productions does not change $L(G) \setminus \{\varepsilon\}$:
Induction by the length of derivation. Replace the derivation
 $\gamma X \delta \xRightarrow{X \rightarrow \alpha Y \beta} \gamma \alpha Y \beta \delta \xRightarrow{Y \rightarrow \varepsilon} \gamma \alpha \beta \delta$ by
 $\gamma X \delta \xRightarrow{X \rightarrow \alpha \beta} \gamma \alpha \beta \delta$.



Proof of correctness — termination

assert $V_\varepsilon = \{X \in V : X \xrightarrow{*} \varepsilon\}$

while $\exists X \rightarrow \alpha Y \beta \in P : Y \in V_\varepsilon \wedge X \rightarrow \alpha \beta \notin P$ **do**

$P := P \cup \{X \rightarrow \alpha \beta\}$

Let $k := \max \{|r| : X \rightarrow r \in P\}$.

Observation: There are **new** productions $X \rightarrow w \in P$, $|w| < k$.

But there are only finitely many productions of bounded length.



Elimination of **cyclic unit productions**

$G = (V, \Sigma, P, S)$ context-free with no ϵ -productions

Consider the **Graph** $U = (V, P \cap V \times V)$ of the unit productions.

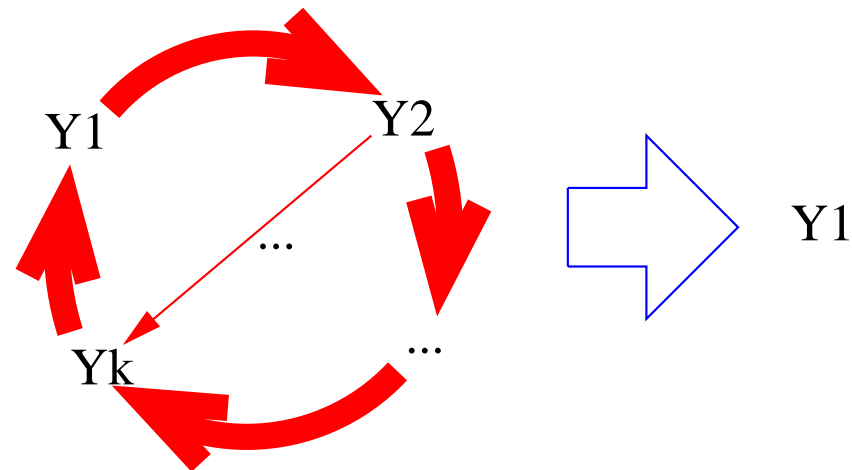
while \exists **cycle** $\{Y_1, \dots, Y_k\}$ in U , $k \geq 1$ **do**

invariant : $L(G)$

replace Y_2, \dots, Y_k in G by Y_1

$P := P \setminus \{Y_1 \rightarrow Y_1\}$

assert U is cycle-free



Graph theoretic point of view:

Contraction of **strongly connected components**



Elimination of noncyclic unit productions

invariant Unit productions graph U is cycle free

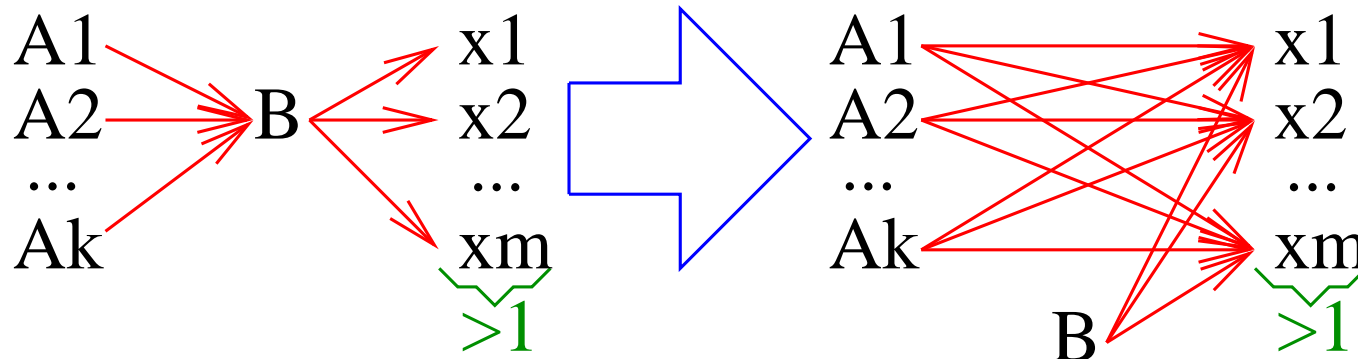
while $\exists X \rightarrow Y \in P \cap V \times V : P \cap \{Y\} \times V = \emptyset$ **do**

invariant : $L(G)$

$P := P \cup \{X \rightarrow x : X \rightarrow Y \in P \wedge Y \rightarrow x \in P\}$

$P := P \setminus V \times \{Y\}$

assert U is leer



Graph theoretic point of view: Handle in reverse **topological sorting** order



Chomsky normal form

A grammar $G' = (V, \Sigma, P, S)$ is in **Chomsky normal form** if $P \subseteq V \times \Sigma \cup V \times VV$.

Proposition: For every context-free grammar G with $\varepsilon \notin L(G)$, $\exists G'$ in Chomsky normal form, so that $L(G) = L(G')$.

Proof of the Proposition: Step-by-step change the grammar G .

Invariant: $L(G)$ remains unchangeable.

1. ε elimination
2. unit productions elimination



Elimination of mixed right sides

foreach $a \in \Sigma$ **do**

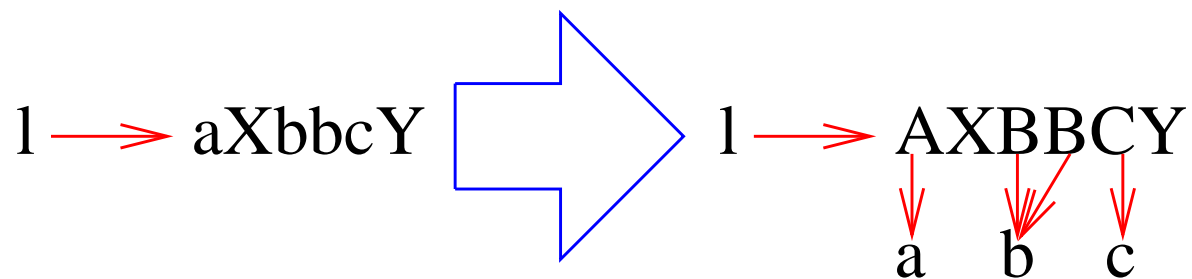
$V_a :=$ new variable

$P := P \cup \{V_a \rightarrow a\}$

foreach $\ell \rightarrow r \in P$ **do**

if $a \in r \wedge |r| \geq 2$ **then** replace a by V_a in $V \rightarrow r$

assert $P \subseteq V \times \Sigma \cup V \times V^*$





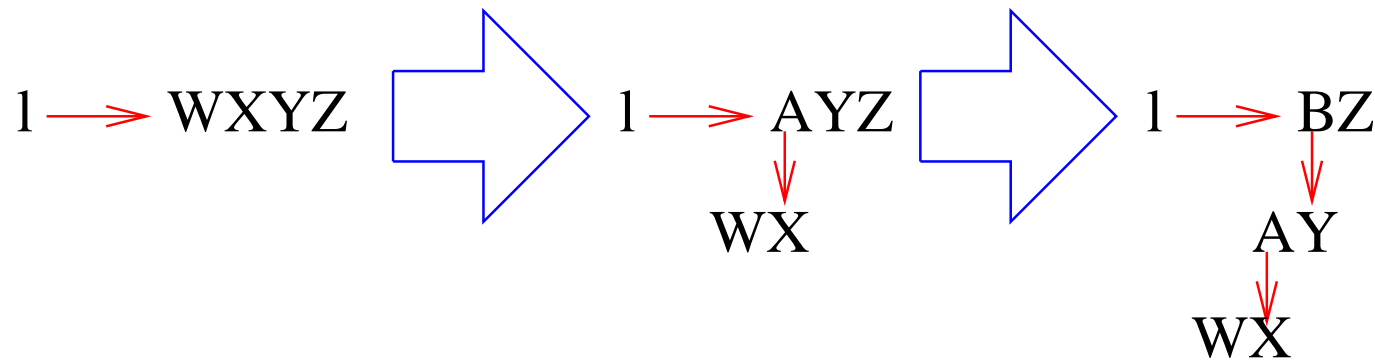
Elimination of longer right sides

while $\exists X \rightarrow Y_1 Y_2 Y_3 \cdots Y_k \in P$ with $k \geq 3$ **do**

$C :=$ new variable

$P := P \cup \{C \rightarrow Y_1 Y_2, X \rightarrow C Y_3 \cdots Y_k\} \setminus \{X \rightarrow Y_1 Y_2 \cdots Y_k\}$

The loop halts, since $\sum_{\ell \rightarrow r \in P} \max(0, |r| - 2)$ decreases.





Exercise

$$\{E \rightarrow E + E, E \rightarrow E * E, E \rightarrow (E), E \rightarrow a\}$$

\rightsquigarrow

$$\{E \rightarrow EV_+E, E \rightarrow EV_*E, E \rightarrow V_-(EV), E \rightarrow a, \\ V_+ \rightarrow +, V_* \rightarrow *, V_-(\rightarrow (, V_) \rightarrow)\}$$



~→

$$\begin{aligned} &\{C \rightarrow EV_+, E \rightarrow CE, \\ &D \rightarrow EV_*, E \rightarrow DE, \\ &F \rightarrow V_*(E), E \rightarrow FV_), \\ &E \rightarrow a, \\ &V_+ \rightarrow +, V_* \rightarrow *, V_(\rightarrow (, V_) \rightarrow)\} \end{aligned}$$



Greibach normal form

A grammar $G' = (V, \Sigma, P, S)$ is in **Greibach normal form** if

$$P \subseteq V \times \Sigma V^*.$$

Proposition: For every context-free grammar G with $\varepsilon \notin L(G)$,
 $\exists G'$ in Greibach normal form, so that $L(G) = L(G')$.

Proof: not here

Idea: a natural generalization of type 3 grammar



1.3.2 The Pumping Lemma

L context-free

$$\rightarrow \exists n \in \mathbb{N} : \forall z \in L : |z| > n$$

$$\rightarrow \exists u, v, w, x, y : z = uvwxy \wedge |vx| \geq 1 \wedge |vwx| \leq n \wedge$$

$$\forall i \in \mathbb{N}_0 : uv^iwx^iy \in L$$

By words:

Sufficiently long words in a **context-free** language remain in it by iteration of one **or two** not trivial substring „pumping“.



Proof of the Pumping Lemma

Let $G = (V, \Sigma, P, S)$ be a grammar in **Chomsky normal form** for $L - \{\epsilon\}$.

Let $k = |V|$, $n = 2^k$,

$z \in L$ with $|z| = m \geq n$ arbitrary.

Consider one **syntax tree** for z .

Max. **degree** ≤ 2 , $\geq n = 2^k$ leaves

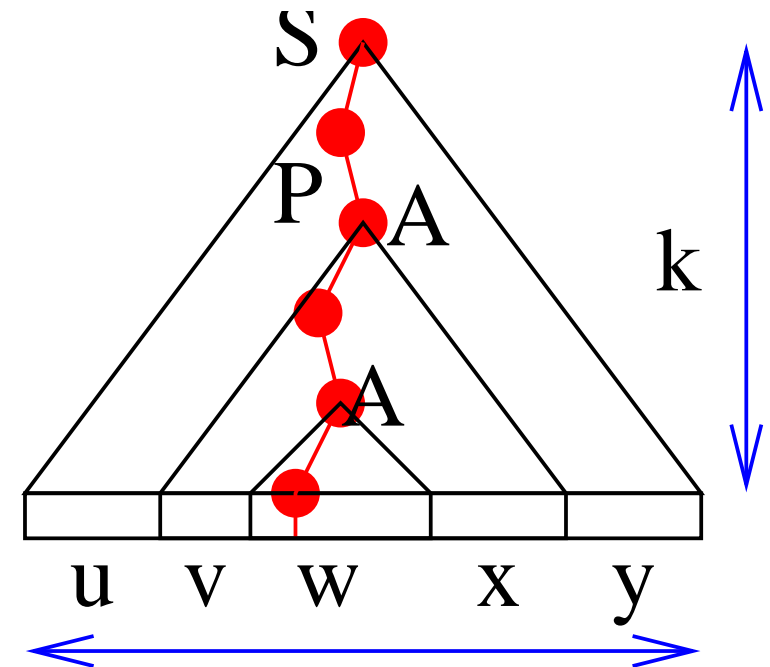
$\rightarrow \exists$ path P with a length $|P| > k$.

$\rightarrow \geq k + 1$ variables in P .

$\rightarrow \exists$ variable $A \in P$: A appears ≥ 2 times.

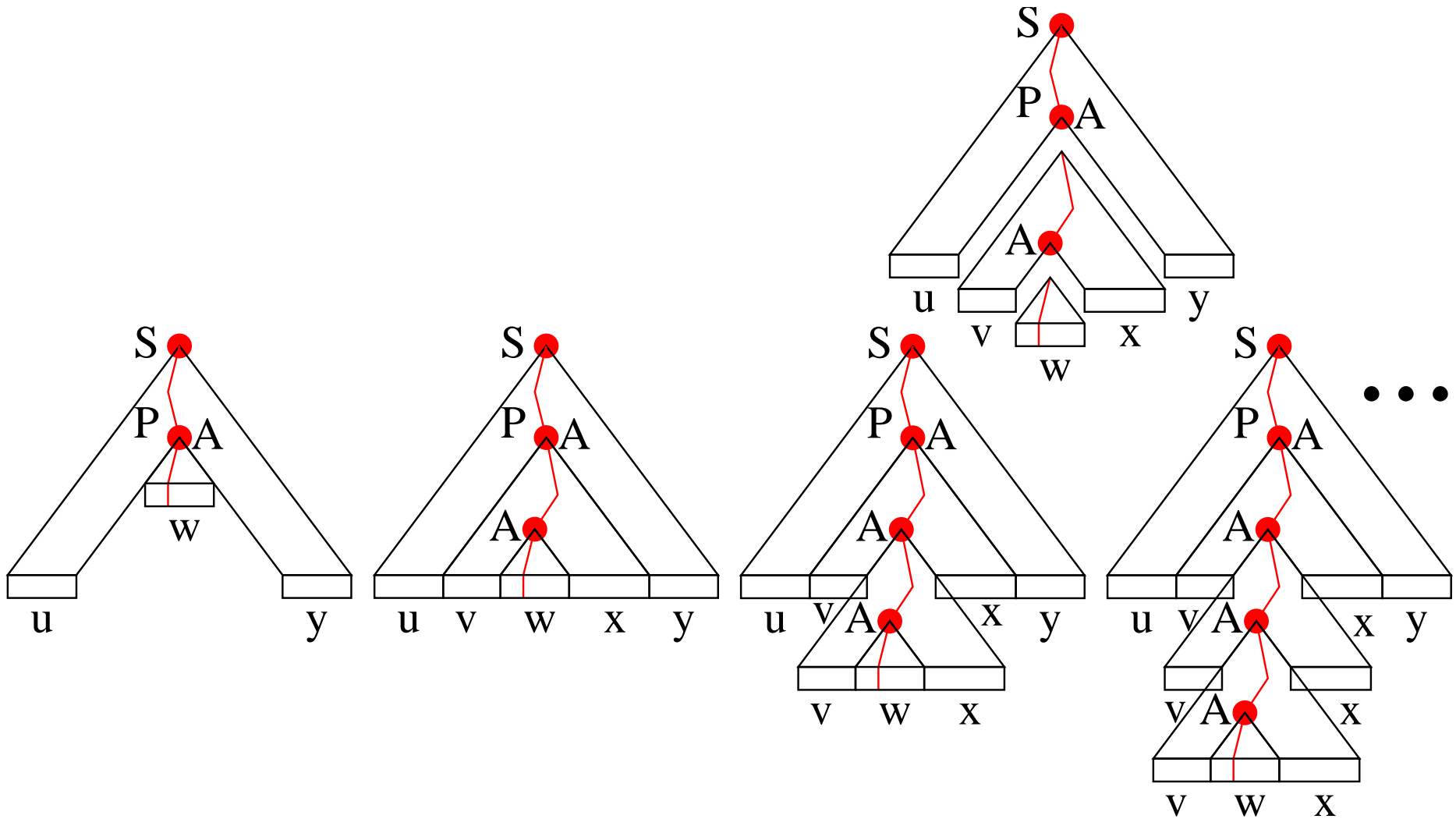
The second appearance from down up has **distance** $\leq k$. Z

\rightarrow stands for the substring $vw\color{red}x$ with **length** $\leq n$





Construction of the iterations:





Lemma: A binary tree (knots with degree 0 or 2) with $\geq 2^k$ leaves contains a path P with length $\geq k$.

Proof: Induction on k .

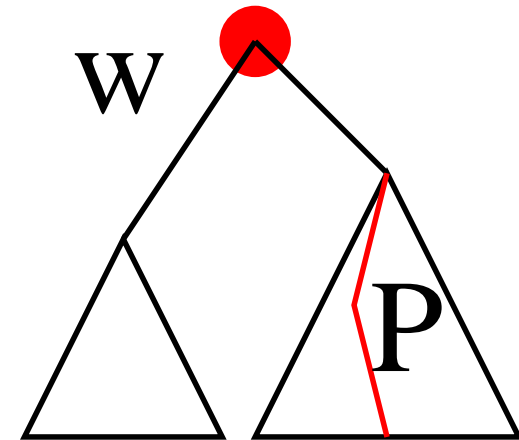
Case $k = 0$: 1 leaf, path length 0.

Case $k \rightsquigarrow k + 1$:

$\geq 2^{k+1}$ leaves. The root w has degree 2.

At least one subtree has $\geq 2^k$ leaves and contains a path P of length $\geq k$.

So wP has length $\geq k + 1$.





$L = \{a^m b^m c^m : m \geq 1\}$ is not context-free

Assume that L is context-free.

Let n be the number from the Pumping lemma.

Consider $z = a^n b^n c^n$ and decomposition

$z = uvwxy$ by the Pumping lemma with

$|vwx| \leq n$, $|vx| \geq 1$, $uv^0wx^0y = uwy \in L$.

vx could not contain a -s, b -s and c -s.

→ the balance of a -s, b -s and c -s in uwy does not hold.

→ $uwy \notin L$

A contradiction

Corollary: type 2 \neq type 1



$L = \{ww : w \in \{a, b\}^*\}$ is not context-free

Assume that L is context-free.

Let n be the number from the Pumping lemma.

Consider $z = a^n b^n a^n b^n$ and a decomposition

$z = uvwxy$ by the Pumping lemma with $|vwx| \leq n$, $|vx| \geq 1$,

$z' := uwy \in L$.

Case $vx = a^k b^j$ is in the left half:

$$\longrightarrow z' = a^{n-k} b^{n-j} a^n b^n.$$

A contradiction.

Case vx lies in the right half: analogously

or (in the middle): $vx = b^k a^j$

$$\longrightarrow z' = a^n b^{n-k} a^{n-j} b^n.$$

A contradiction.



Rules for the proof by the Pumping Lemma

1. Let n be the number from the Pumping lemma.
2. Consider $z = ???$ ($|z| \geq n$) and a decomposition $z = uvwxy$ by the Pumping lemma with $|vwx| \leq n$, $|vx| \geq 1$
 - Every** z with $|z| \geq n$ is allowed. The “creative” part !
 - The choice of the word enable us to make the proof possible/simple
 - Since $|vwx| \leq n$ contains blocks of length n we have the following cases
3. Cases for **all possible decompositions** $z = uvwxy$. For each case:
Find an $i \geq 0$, so that $uv^iwx^iy \notin L(G)$.
Typical values: $i = 0, i = 2$.
Challenge: Make the number of cases smaller .



1.3.3 Closer properties

Context-free languages are closed under

union

\cup

concatenation

\cdot

star operation

$*$

not closed under

intersection

\cap

complement

$\bar{}$



Closure of CFG under \cup

Consider

$$G_1 = (V_1, \Sigma, P_1, S_1),$$

$$G_2 = (V_2, \Sigma, P_2, S_2),$$

Let $V_1 \cap V_2 = \emptyset$ and

$$G = (\{S\} \cup V_1 \cup V_2, \Sigma, \{S \rightarrow S_1, S \rightarrow S_2\} \cup P_1 \cup P_2).$$

Evidently it holds

$$L(G) = L(G_1) \cup L(G_2).$$



Closure of CFG under \cdot

Consider

$$G_1 = (V_1, \Sigma, P_1, S_1),$$

$$G_2 = (V_2, \Sigma, P_2, S_2),$$

Let $V_1 \cap V_2 = \emptyset$ and

$$G = (\{S\} \cup V_1 \cup V_2, \Sigma, \{S \rightarrow S_1 S_2\} \cup P_1 \cup P_2).$$

Evidently it holds

$$L(G) = L(G_1) \cdot L(G_2).$$



Closure of CFG under *

Consider

$$G_1 = (V_1, \Sigma, P_1, S_1)$$

and let S_1 appear in no right side in P . And

$$G = (\{S\} \cup V_1, \Sigma, \{S \rightarrow \varepsilon, S \rightarrow S_1, S_1 \rightarrow S_1 S_1\} \cup P_1 \setminus \{S_1 \rightarrow \varepsilon\}).$$

Clearly it holds

$$L(G) = L(G_1)^*.$$



Nonclosure of CFG under \cap

Consider the Context-free languages

$$L_1 = \{a^i b^j c^j : i, j > 0\}$$

$$L_2 = \{a^i b^i c^j : i, j > 0\}.$$

$$L_1 \cap L_2 = \{a^i b^i c^i : i > 0\} \text{ is not context-free!}$$



Nonclosure of CFG under $\bar{\cdot}$

Assume:

closure under \cup and $\bar{\cdot}$.

→

closure under \cap will

$$L_1 \cap L_2 = \overline{\overline{L_1} \cup \overline{L_2}}$$

A contradiction



1.3.4 The CYK-algorithm

— The word problem for context-free grammars

Given: A grammar $G = (V, \Sigma, P, S)$,

A word $x = x_1 \cdots x_n \in \Sigma^*$.

Question: $x \in L(G)$?

Algorithm of Cocke, Younger and Kasami

Let G be in Chomsky normal form:

Special case $x = \varepsilon$ is easy,

since a priori is converted in Chomsky NF.



CYK Algorithm

We are solving more general problem:

For each substring $x_i \cdots x_{i+j-1}$ of the length j of x ,
from which variable symbols is $x_i \cdots x_{i+j-1}$ derivable?

$$T[i, j] := \left\{ A \in V : A \xrightarrow{*} x_i \cdots x_{i+j-1} \right\}$$

Case $j = 1$: $T[i, 1] = \{A \in V : A \rightarrow x_i \in P\}$

Otherwise:

$$T[i, j] := \{A \in V : \exists A \rightarrow BC \in P : \exists k \in \{1, \dots, j-1\} : \\ B \in T[i, k] \wedge C \in T[i+k, j-k]\}$$

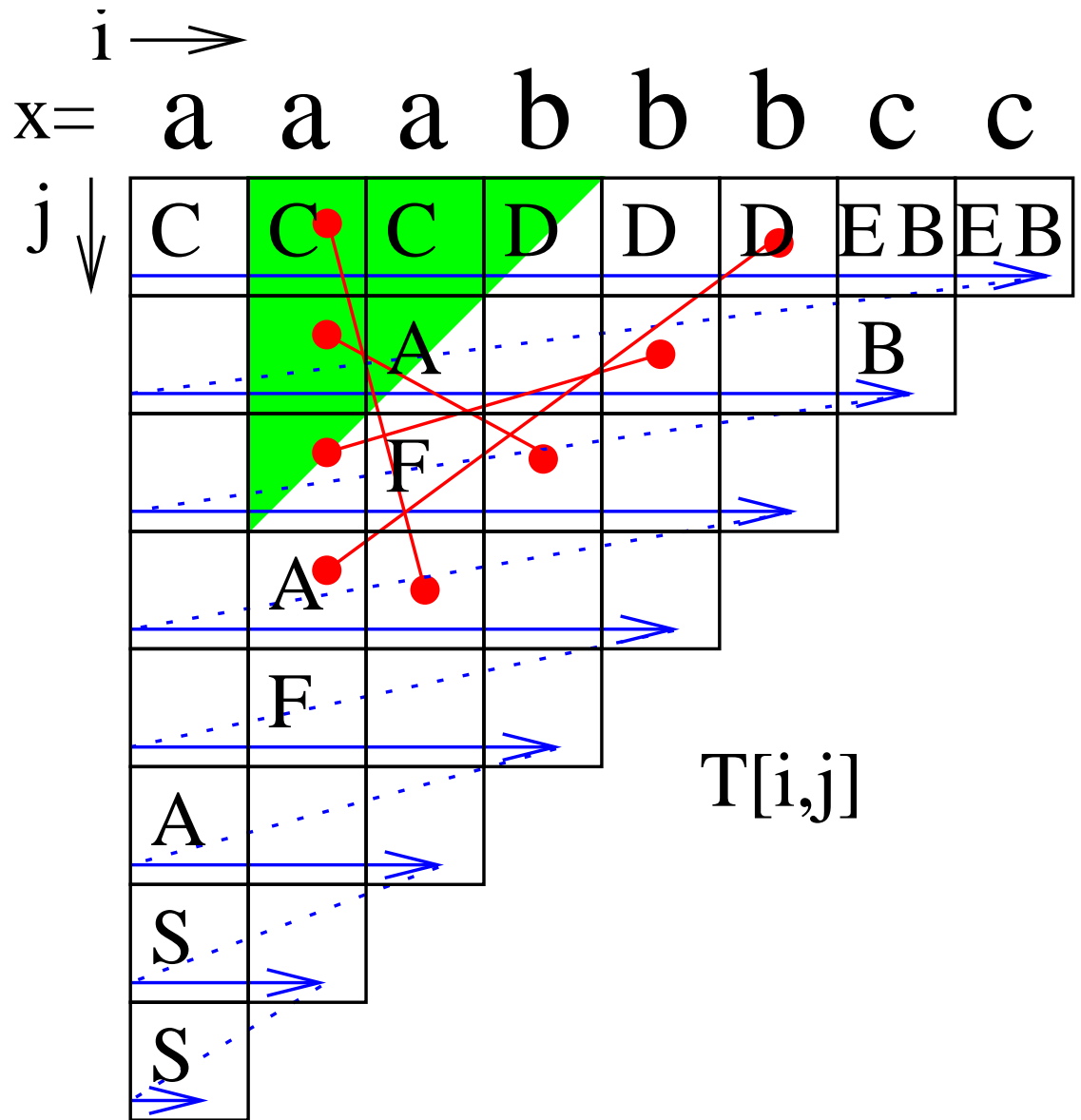
In the end: $S \in T[1, n]$?



Exercise

$G = (\{S, A, B, C, D, E, F\}, \{a, b, c\}, P, S)$,

- $P = \{$
- $S \rightarrow AB,$
- $A \rightarrow CD,$
- $A \rightarrow CF,$
- $B \rightarrow c,$
- $B \rightarrow EB,$
- $C \rightarrow a,$
- $D \rightarrow b,$
- $E \rightarrow c,$
- $F \rightarrow AD\}$





Implementation by dynamic programming

for $i := 1$ **to** n **do** $T[i, 1] := \{A \in V : A \rightarrow x_i \in P\}$

for $j := 2$ **to** n **do**

for $i := 1$ **to** $n - j + 1$ **do**

$T[i, j] := \emptyset$

for $k := 1$ **to** $j - 1$ **do**

$T[i, j] = \cup \{A : \exists A \rightarrow BC \in P : B \in T[i, k] \wedge C \in T[i + k, j - k]\}$

return $S \in T[1, n]$



Analysis

Let $V = 1..|V|$

for $i := 1$ **to** n **do** $T[i, 1] := \{A \in V : A \rightarrow x_i \in P\}$ // “cheep”

for $j := 2$ **to** n **do** // $\leq n$ times

for $i := 1$ **to** $n - j + 1$ **do** // $\leq n$ times

$T[i, j] := \emptyset$ // Binary vector of the size $|V| \leq |P|$

for $k := 1$ **to** $j - 1$ **do** // $\leq n$ times

foreach $A \rightarrow BC \in P$ **do** // $\leq |P|$ times

if $B \in T[i, k] \wedge C \in T[i + k, j - k]$ **then** // $\mathcal{O}(1)$

 insert A into $T[i, j]$ // $\mathcal{O}(1)$

return $S \in T[1, n]$

Time: $\mathcal{O}(n \times n \times (|V| + n \times |P|)) = \mathcal{O}(n^3 |P|)$



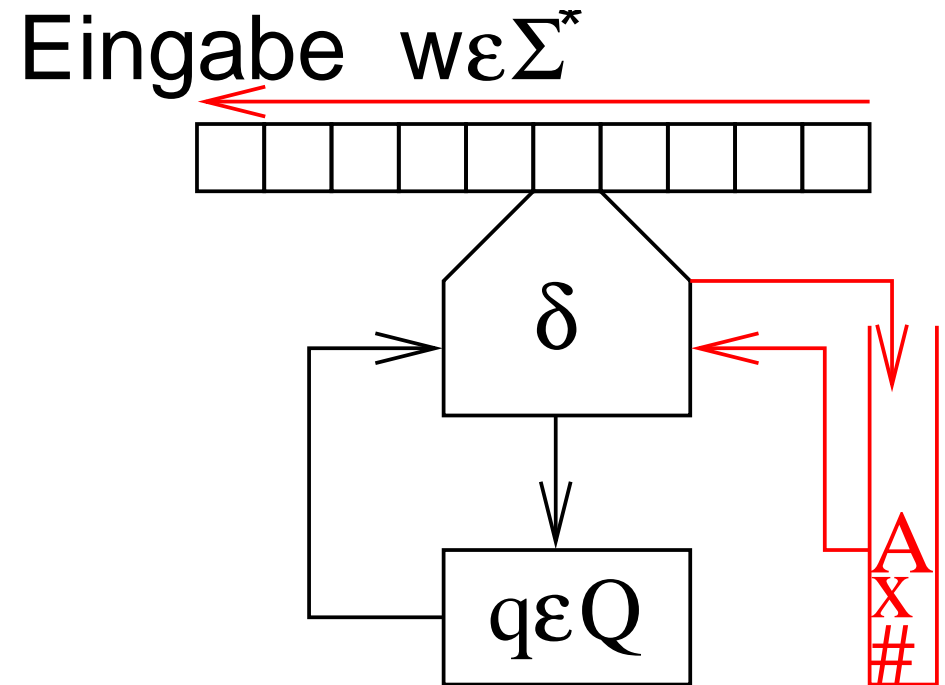
1.3.5 Push down (stack) automata

$K = (Q, \Sigma, \Gamma, \delta, s, \#)$:

- Q , states
- Σ , alphabet
- Γ stack alphabet,
 $\Sigma \cup \{\#\} \subseteq \Gamma$
- $\delta : Q \times (\Sigma \cup \varepsilon) \times \Gamma \rightarrow 2^{Q \times \Gamma^*}$,
transition **function**; (finite sets)
- $s \in Q$, input state
- $\# \notin \Sigma$: end of stack,

\approx ε NFA + stack memory – final states

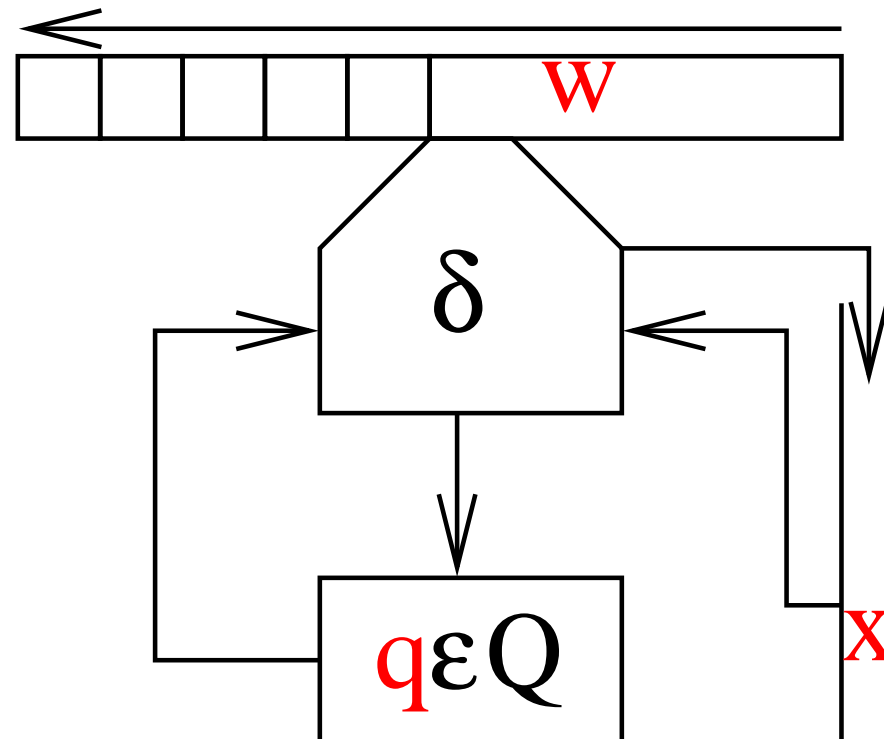
\approx special 2-tape-Turing machine





Configuration of a stack machine

$$(q, w, x) \in Q \times \Sigma^* \times \Gamma^*$$





Functionality of a stack machine

Possible transitions between configurations.

Consumption of an input symbol a :

$$(q, aw, bx) \xrightarrow{(q', x') \in \delta(q, a, b)} (q', w, x'x)$$

ε -transition:

$$(q, w, bx) \xrightarrow{(q', x') \in \delta(q, \varepsilon, b)} (q', w, x'x)$$



Stack machine as an acceptor

$$K = (Q, \Sigma, \Gamma, \delta, s, \#).$$

$$L(K)?$$

Definition:

K accepts $w \in \Sigma^*$ iff

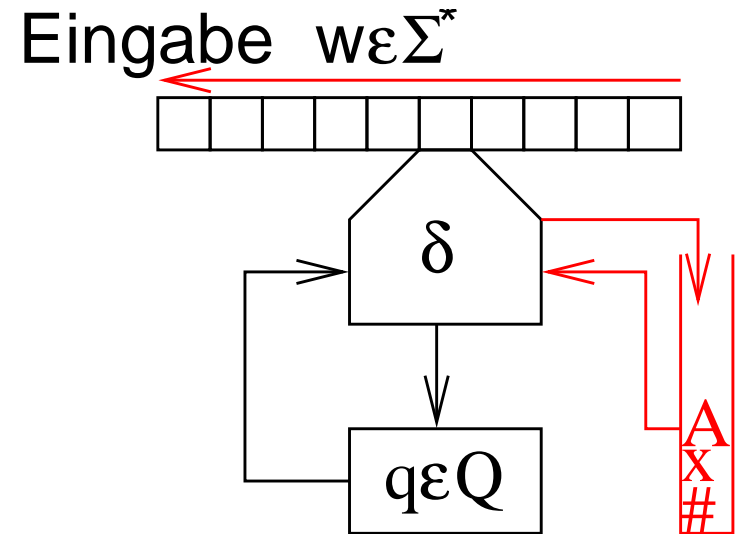
\exists a sequence of (by δ **admitted**)

configuration transitions

$(s, w, \#) \vdash \dots \vdash (q, \varepsilon, \varepsilon)$ with $q \in Q$ arbitrary.

“Acceptation by an empty stack”

$$L(K) := \{w \in \Sigma^* : K \text{ accepts } w\}.$$





Exercise: $\{w\$w^R : w \in \{a,b\}^*\}$

$$K = (\{0, 1\}, \{a, b, \$\}, \{a, b, \#\}, \delta, 0, \#)$$

$$\delta(0, \$, k) = \{(1, k)\}$$

$$\delta(0, i, k) = \{(0, ik)\} \text{ for } i \in \{a, b\}$$

$$\delta(1, i, i) = \{(1, \varepsilon)\}$$

$$\delta(1, \varepsilon, \#) = \{(1, \varepsilon)\}$$

$$(0, ba\$ab, \#) \vdash$$

$$(0, a\$ab, b\#) \vdash$$

$$(0, \$ab, ab\#) \vdash$$

$$(1, ab, ab\#) \vdash$$

$$(1, b, b\#) \vdash$$

$$(1, \varepsilon, \#) \vdash$$

$$(1, \varepsilon, \varepsilon)$$



Exercise: $\{ww^R : w \in \{a,b\}^*\}$

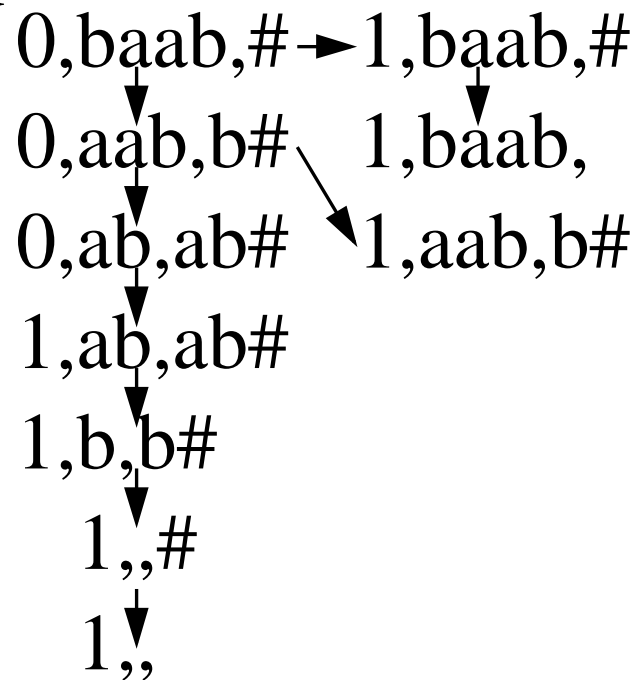
$$K = (\{0, 1\}, \{a, b\}, \{a, b, \#\}, \delta, 0, \#)$$

$$\delta(0, \epsilon, k) = \{(1, k)\}$$

$$\delta(0, i, k) = \{(0, ik)\}$$

$$\delta(1, i, i) = \{(1, \epsilon)\}$$

$$\delta(1, \epsilon, \#) = \{(1, \epsilon)\}$$





Proposition: L is context-free iff \exists a nondeterministic stack automaton (NstackA) $M : L(M) = L$



Proof: L is context-free $\longrightarrow \exists$ NstackA $M : L(M) = L$

Let $G = (V, \Sigma, P, S)$ be a grammar with $L(G) = L$.

Consider NstackA $M = (\{z\}, \Sigma, V \cup \Sigma, \delta, z, S)$ with

$$\forall A \rightarrow \alpha \in P : (z, \alpha) \in \delta(z, \varepsilon, A),$$

$$\forall a \in \Sigma : (z, \varepsilon) \in \delta(z, a, a)$$

Idea (Invariant): stack stores the proposition form of one derivation minus by the input terminal symbols.



$G = (V, \Sigma, P, S)$ grammar with $L(G) = L$.

$M = (\{z\}, \Sigma, V \cup \Sigma, \delta, z, S)$ with

$\forall A \rightarrow \alpha \in P : (z, \alpha) \in \delta(z, \varepsilon, A), \forall a \in \Sigma : (z, \varepsilon) \in \delta(z, a, a)$

$x = x_1 \cdots x_n \in L(G)$

$\longrightarrow \exists$ left derivation $S \Rightarrow \cdots \Rightarrow x$

We construct a sequence of configurations of M

$(z, x, S) \vdash \cdots \vdash (z, \varepsilon, \varepsilon)$:

□ Configuration $(z, x_i \cdots x_n, Vy)$: left derivation replacement

$V \rightarrow \alpha \in P$ gives us $(z, \alpha) \in \delta(z, \varepsilon, V)$.

So the new configuration is $(z, x_i \cdots x_n, \alpha y)$.

□ Configuration $(z, x_i \cdots x_n, x_i y)$:

New configuration $(z, x_{i+1} \cdots x_n, y)$



$G = (V, \Sigma, P, S)$ grammar with $L(G) = L$.

$M = (\{z\}, \Sigma, V \cup \Sigma, \delta, z, S)$ with

$\forall A \rightarrow \alpha \in P : (z, \alpha) \in \delta(z, \varepsilon, A)$ and $\forall a \in \Sigma : (z, \varepsilon) \in \delta(z, a, a)$

$x = x_1 \cdots x_n \in L(M)$

$\longrightarrow \exists$ a sequence of configurations of M

$(z, x, S) \vdash \cdots \vdash (z, \varepsilon, \varepsilon)$:

We construct a **left derivation** $S \Rightarrow \cdots \Rightarrow x$.

The configuration transitions without consumption of input symbols give us turning towards the substitutions.



Proof: \exists NstackA $M : L(M) = L \xrightarrow{\text{red}} L$ is context-free.

Let $M = (Z, \Sigma, \Gamma, \delta, z_0, \#)$ be NstackA with $L(M) = L$.

not here. (A little technical)



Proposition: For each NstackA with one state $M = (\{z\}, \Sigma, \Gamma, \delta, z)$ there is a grammar G with $L(G) = L(M)$.

Proof: Set $G = (\Gamma, \Sigma, P, \#)$ with

$P = \{A \rightarrow a\alpha : (z, \alpha) \in \delta(z, a, A)\}$ $a = \varepsilon$ allowed!

Part $L(G) \subseteq L(M)$:

$x \in L(G) \longrightarrow \exists$ **left derivation** $A = \# \xRightarrow{*} x$.

We prove by induction:

For each prefix w of x : $\# \xRightarrow{*} w\alpha$ with $w \in \Sigma^*$, $\alpha \in \Gamma^*$

\exists a **configuration sequence** $(z, x, \#) \vdash \cdots \vdash (z, y, \alpha)$ of M with

$wy = x$.



The induction base: Prefix $\langle \# \rangle$ corresponds to configuration sequence $\langle (z, x, \#) \rangle$ ($y = x, w = \varepsilon, \alpha = \#$)

Induction step: $\# \xRightarrow{*} wA\beta \Rightarrow wa\alpha\beta. a \in \varepsilon \cup \Sigma.$

By IH \exists conf.-sequence $(z, x, \#) \vdash \dots \vdash (z, ay, A\beta)$ with $way = x.$

Because of $A \rightarrow a\alpha \in P$ it should be $(z, \alpha) \in \delta(z, a, A).$

So, $(z, ay, A\beta) \vdash (z, y, \alpha\beta).$

Hence $\# \xRightarrow{*} x \longrightarrow (z, x, \#) \vdash^* (z, \varepsilon, \varepsilon).$



Part $L(M) \subseteq L(G)$:

$x \in L(M) \longrightarrow \exists$ **configuration sequence** $(z, x, \#) \vdash \cdots \vdash (z, \varepsilon, \varepsilon)$

...

To this corresponds to a **left derivation** $A = \# \xRightarrow{*} x$.



1.3.6 Deterministic context-free languages

$K = (Q, \Sigma, \Gamma, \delta, s, \#, F)$:

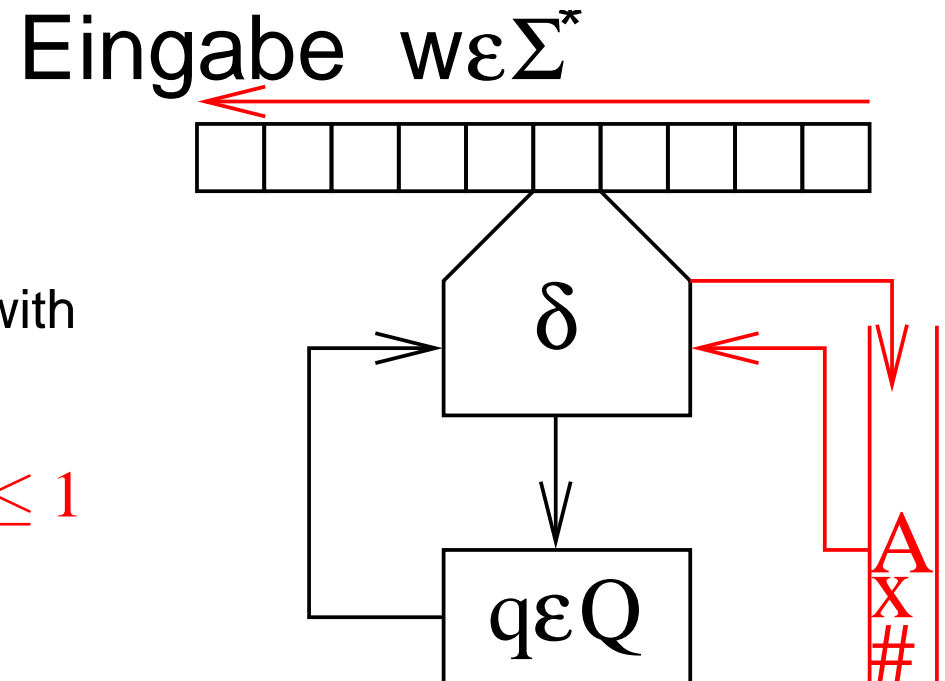
- $Q, \Sigma, \Gamma, s, \#$ we know.
- $\delta : Q \times (\Sigma \cup \varepsilon) \times \Gamma \rightarrow 2^{Q \times \Gamma^*}$, with
 $\forall z \in Q, a \in \Sigma, A \in \Gamma:$
 $|\delta(z, a, A)| + |\delta(z, \varepsilon, A)| \leq 1$

K accepts $w \in \Sigma^*$ iff

\exists a sequence of (by δ **admitted**)

configuration transitions

$(s, w, \#) \vdash \dots \vdash (f, \varepsilon, \varepsilon)$ with $f \in F$.





Proposition:

$\forall \text{DstackA } K : \exists \text{NstackA with one state } K' : L(K) = L(K')$.

$\exists \text{NstackA with one state } K' : \nexists \text{DstackA } K : L(K) = L(K')$.

Proof:

not here.



Compilers

Observation:

The word problem for DstackA we could solve in **linear time** .

Remark:

The languages for DstackA are exactly die **LR(k)**-languages.

Such language families are the foundation of the syntax of many program languages.



Closure properties for DstackA

Without Proof:

Closed under: $\bar{}$, intersection with regular languages.

Not closed under: $\cup, \cap, \cdot, *$



1.3.7 Decidability for context-free languages

Emptiness problem

Function $\text{isEmpty}(G = (V, \Sigma, P, S))$

Marked $:= \Sigma$

while $\exists A \rightarrow \alpha \in P : A \notin \text{Marked} \wedge \alpha \in \text{Marked}^*$ **do**

 Marked $:= \text{Marked} \cup \{A\}$

return $S \notin \text{Marked}$

It holds iff $L(G) = \emptyset$



Finiteness problem

Given: grammar $G = (V, \Sigma, P, S)$

Question: $|L(G)| < \infty$?

Let n be the number of the Pumping lemma.

Observation: $|L(G)| = \infty \Leftrightarrow \exists z \in L(G) : n \leq |z| < 2n$

Proof:

$z \in L(G), n \leq |z| < 2n \longrightarrow$ Pumping lemma gives $|L| = \infty$.

Case $|L(G)| = \infty$ consider $z \in L(G)$ with minimal $|z| \geq n$.

Assume $|z| \geq 2n$.

Pumping lemma
 $\longrightarrow z = uvwxy, |vx| \geq 1, uwy \in L(G), |uwy| \geq n$.

A contradiction with the minimality of $|z|$.



Finiteness problem

Given: a grammar $G = (V, \Sigma, P, S)$

Question: $|L(G)| < \infty$?

Let n be the number of the Pumping lemma.

It holds: $|L(G)| = \infty \Leftrightarrow \exists z \in L(G) : n \leq |z| < 2n$

Brute Force Algorithm:

Word problem for all words z with length $n \leq |z| < 2n$.

Time exposure: $\mathcal{O}\left(8 \cdot 2^{3|V|} \cdot |\Sigma|^{2 \cdot 2^{|V|}}\right)$

!

Remark: There is an efficient algorithm.



Decidability problem for DstackA

□ Equivalence: $L(K_1) = L(K_2)$?



Non decidable problems for CFG

- $L(G_1) \cap L(G_2) = \emptyset$? Disjunctives
- $|L(G_1) \cap L(G_2)| = \infty$?
- $L(G_1) \cap L(G_2)$ context-free?
- $L(G_1) \subseteq L(G_2)$?
- $L(G_1) = L(G_2)$?
- Ambiguity: $\exists x \in L(G) : |\{\text{syntax tree}(x)\}| \geq 2$
- Is $\overline{L(G)}$ context-free?
- Is $L(G)$ regular?
- Is $L(G)$ det. context-free?



Non-decidability of $L(G_1) \cap L(G_2) = \emptyset$?

We solve the **PCP- Post Correspondence System**

$K = (x_1, y_1) \cdots (x_k, y_k) \in (\{a, b\}^* \times \{a, b\}^*)^*$ by means of one assumed below program **disjoint**(G_1, G_2):

Define $\Sigma = \{a, b, 1, \dots, k\}$

$G_1 = (\{S\}, \Sigma, P_1, S)$,

$G_2 = (\{S\}, \Sigma, P_2, S)$, with

$P_1 = \{S \rightarrow iSx_i, S \rightarrow ix_i : i \in 1..k\}$

$P_2 = \{S \rightarrow iSy_i, S \rightarrow iy_i : i \in 1..k\}$

$L(G_1) = \{i_n \cdots i_1 x_{i_1} \cdots x_{i_n} : i_\ell \in 1..k\}$

$L(G_2) = \{i_n \cdots i_1 y_{i_1} \cdots y_{i_n} : i_\ell \in 1..k\}$

$L(G_1) \cap L(G_2) \neq \emptyset$

iff.

$\exists i_1, \dots, i_n : x_{i_1} \cdots x_{i_n} = y_{i_1} \cdots y_{i_n}$

iff.

K has a solution.