



Informatics III

The Foundations of the Informatics

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Materials

Slides, Exercises

Books: main Schöning

Wikipedia



Books

- Comparable contents
- Good for reading and additional motivation



Schöning: Theoretische Informatik

— kurzgefasst

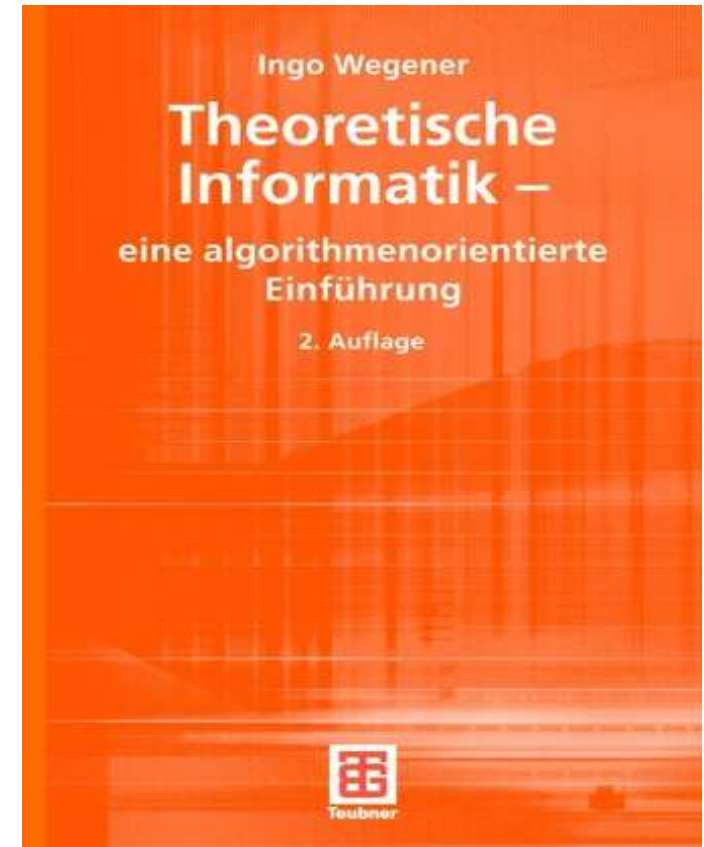
- 4. Auflage, Spektrum Verlag, 2001,
- Lectures: The closest content.
Another chronological order
- Short but understandable
- The proofs are not so detailed





Wegener: Theoretische Informatik — eine algorithmenorientierte Einführung

- 2. Auflage, Teubner, 1999,
- Good application-oriented motivation
- Additional, non formal representation:





Hopcroft, Motwani, Ullman

Einführung in die Automatentheorie, formale Sprachen und Komplexitätstheorie

- 2. Auflage, Addison-Wesley, 2002,
 - Good application-oriented motivation
 - Not enough material so short explained
 - Suitable for self study?
- ≠ old Hopcroft Ullman book





Lewis and Papadimitiou

Elements of the theory of computation

- 2. edition, Prentice-hall, 1998
- Good theoretical motivation
- Enough material and very good explained
- Suitable for self study



M. Sipser

Introduction the theory of computation

- PWS publ. comp, MIT, 1997
- Non formal description, good intuition
- A lot of examples, a lot of material

K. Manev

Introduction to discrete mathematics

- In Bulgarian, 2003
- Automata and grammars - good
- Computability and complexity- poor

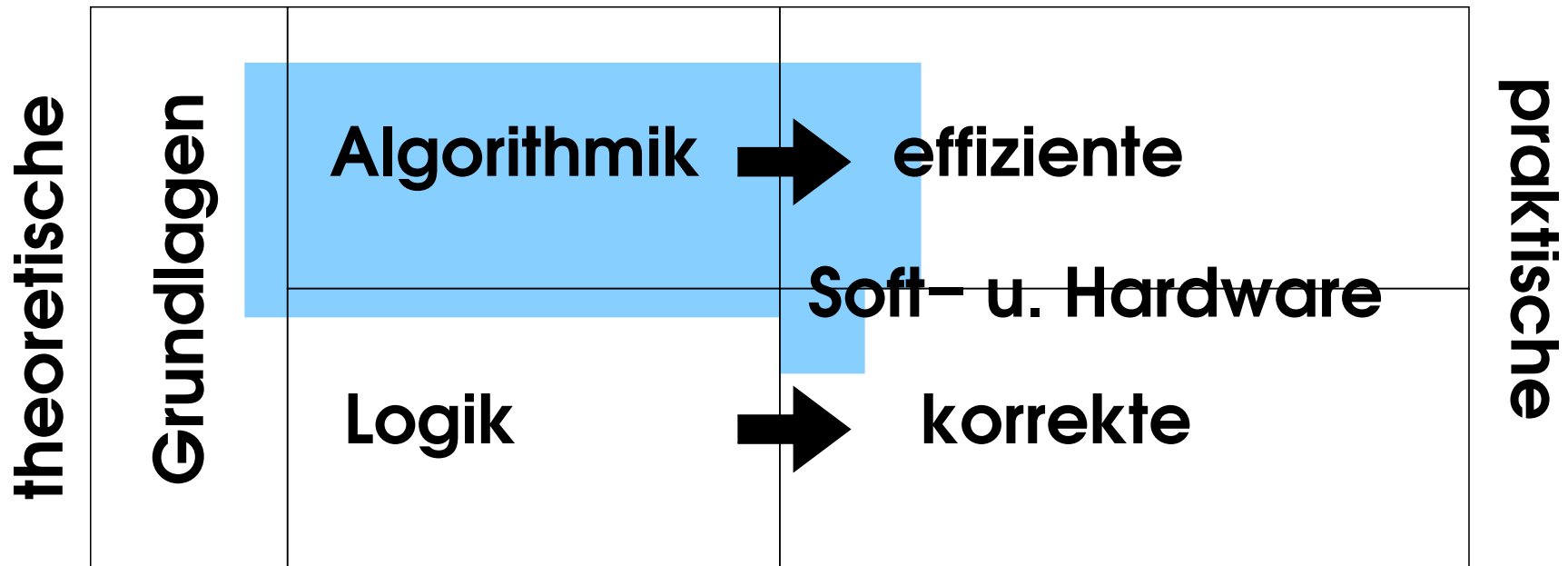


- 300 BC Euclid and 9th century AD Al Khwarizmi
- 1646-1716 Gottfried Leibniz
- 1832 Charles Babbage - Analytical Engine (Ada Byron)
- 1900 David Hilbert (Entscheidungsproblem) Hilbert's Programm
- 1933 Gödel's - Incompleteness Theorem
- 1936 Alan Turing - Turing machines, Stephen Kleene - recursive function theory
- A. Church - lambda-computable functions
- Shepherdson and Sturgis — Register Computable Functions
- Chomski, Myhill, Nerode, D. Scott, Rabin - automata theory
- S. Cook - complexity



Informatics

Informatik





Basic paradigms

The foundation of Computer science

Formal languages: How the computer communicates?

Automata theory: Formal model of computer.

Computability: What kind of problems could (not) be solved by computers?

Complexity: What we could (not) solve by efficient algorithms?



The reason of all of this?

- (in)direct **use**
(algorithms, ideas, methods)
in the programm languages, compilers, text manipulation,
(linguistic), hardware engineering, software engineering,...
- Experience with typical discrete **proofs**
~> theory of algorithms, logic, theory of computation, ...



Formal Languages

Notations

Alphabet: a **finite** set of symbols (Σ)

Words (in Σ): strings (finite sequence) of symbols from Σ (w)

Formal language: a set of words in Σ (L)

Empty word: ε ($\{\varepsilon\} \neq \emptyset$!)

Concatenation of strings: ‘ \cdot ’ associative.

Example: $ac \cdot bab = acbab$.

Concatenation of languages:

$L_1 \cdot L_2 := \{w_1 \cdot w_2 : w_1 \in L_1 \wedge w_2 \in L_2\}$.

Example: $\{ab, ba\} \cdot \{aa, bb\} = \{abaa, abbb, baaa, babb\}$



Notation

The k th product (Powering): $w^0 := \varepsilon$, $w^n := w \cdot w^{n-1}$ for $n \geq 1$.

$$L^0 := \{\varepsilon\}, L^n := L \cdot L^{n-1} \text{ for } n \geq 1.$$

$$\text{Example } a^3 = aaa, \{a, bb\}^2 = \{aa, abb, bba, bbbb\}$$

Kleene star(hull): $L^* := \bigcup_{i=0}^{\infty} L^i$

(each particular word is finite!)

$$\text{Example: } \{a, b\}^* = \{\varepsilon, a, b, aa, ab, ba, bb, aaa, aab, \dots\}$$

Σ^* : The set of all words in Σ .

$(\Sigma^*, \cdot, \varepsilon)$ is a **monoid**.

Positive hull: $L^+ := \bigcup_{i=1}^{\infty} L^i$

Complement language: $L^c := \Sigma^* \setminus L$

$|w|$ (length): The number of the symbols in w



Notations

Let $w = u \cdot v \cdot x$

Prefix: u

Substring: v

Suffix: x

Reversal: $(c_1 c_2 \cdots c_k)^R = c_k \cdots c_2 c_1$



An Example of a formal Language $\Sigma = \{0, 1\}$

- $L^= := \{0^n 1^n : n \geq 1\} = \{01, 0011, 000111, \dots\}$
- $\{a^n b^n c^n : n \in \mathbb{N}\} = \{abc, aabbcc, aaabbbccc, \dots\}$
- $\{ww : w \in \Sigma^*\} = \{\varepsilon, 00, 11, 0000, 0101, 1010, 1111, \dots\}$
- $\{ww^R : w \in \Sigma^*\} = \{\varepsilon, 00, 11, 0000, 0110, 1001, 1111, \dots\}$
- $L_P := \{w : w = w^R\} = \{\varepsilon, 0, 1, 00, 11, 000, 010, 101, 111, \dots\}$

Palindrome

English: Ana, star, dog,lived, stop,war;

German: gnung, hangnah, kajak, lagerregal, reliefpfeiler;

Bulgarian: Az obicham mach i boza; Nasila zakaraha svinete ni v sahara! - kaza Lisan ; "

Latin: Sator Arepo Tenet Opera Rotas .



Example of a Formal Language

Balanced left and right parentheses $L_{()} :$

- $\epsilon \in L_{()},$
- if $u \in L_{()}, v \in L_{()}$ then $uv \in L_{()},$
- if $u \in L_{()}$ then $(u) \in L_{()} .$



Algorithmic problems

Word problem: $w \in L$?

Equivalence: $L_1 = L_2$?

Specification: mathematical definition (see up), **grammars**,
acceptors=automata (machine), when the word $w \in L$
transformation between different specifications of L .



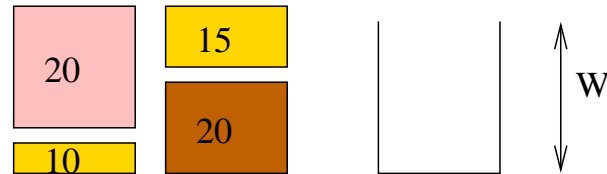
Way Formal Languages?

- The program languages, data formats, . . . are formal languages.
- Simply formulate the problems
- Many algorithmic problems one could assume as word problems.

„When we understand the formal languages then we understand the informatics.“



Example: Knapsack problem



- n objects with **weight** $w_i \in \mathbb{N}$ and **profit** p_i
- to select a subset \mathbf{x} of the objects
- such that $\sum_{i \in \mathbf{x}} w_i \leq W$ and
- to **maximize the profit** $\sum_{i \in \mathbf{x}} p_i$



Example: Knapsack problem

Define $L \subseteq \{0, 1, ','\}^*$:

$w \in L$ if w is a list separated by commas of $2n + 2$ binary numbers

$P, W, w_1, p_1, \dots, w_n, p_n$, so that

$$\exists \mathbf{x} \subseteq \{1, \dots, n\} : \sum_{i \in \mathbf{x}} p_i \geq P \text{ and } \sum_{i \in \mathbf{x}} w_i \leq W$$

Word problem for $L \rightsquigarrow$ Knapsack problem:

- rate the optimal P through a **binary search**
- find \mathbf{x} for the chosen element.

Altogether $\leq n + \log \sum_i p_i$ to solve the word problem

„little“

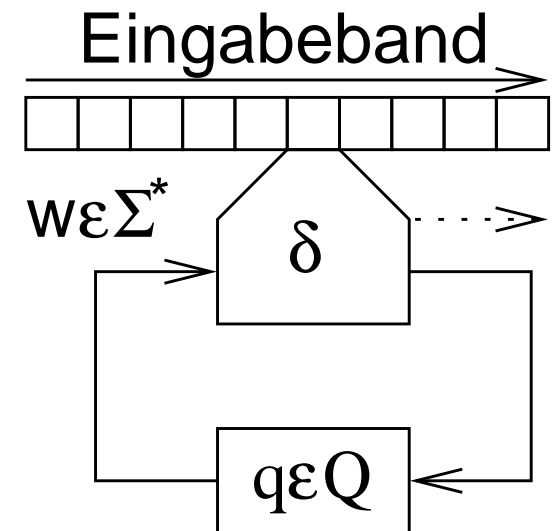


Automata theory

Finite Automata

A deterministic finite automaton (or acceptor) consists of:

- Q , a finite set of **states**;
- Σ , a finite set of **input symbols**, (**alphabet**);
- $\delta: Q \times \Sigma \rightarrow Q$, a **transition function**;
- $s \in Q$, the **input state**;
- $F \subseteq Q$, a finite set of the **final states**.

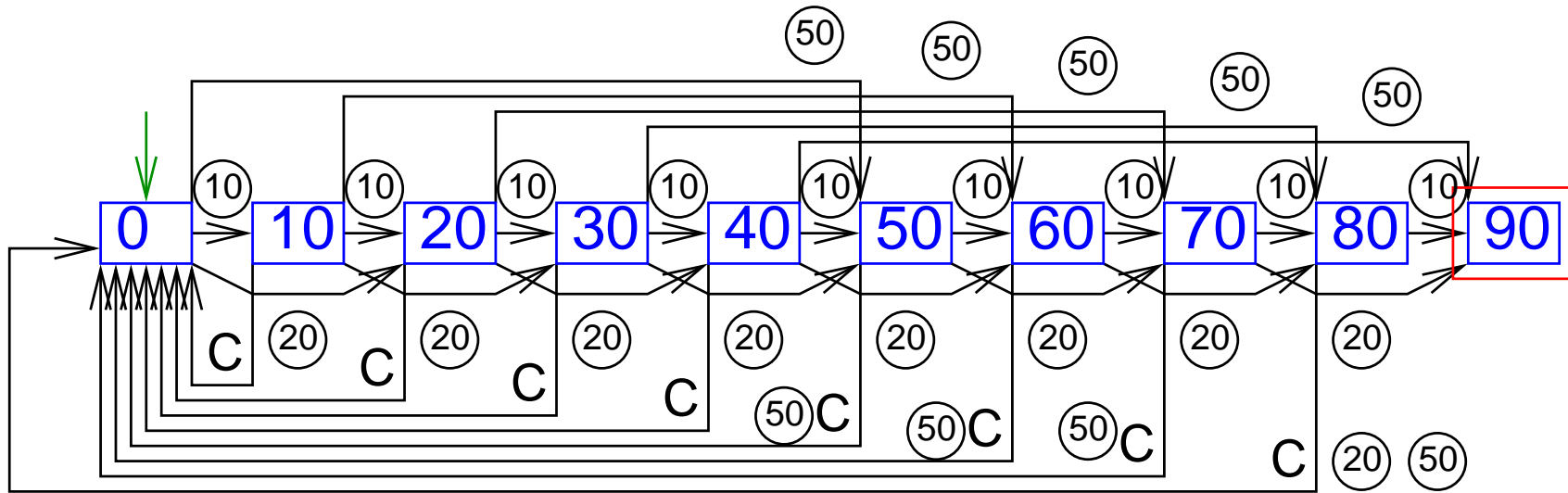




Example: a simple ticket automat

- Standard price 90 cents
- 10, 20 and 50 coins will be accepted
- Stop by button C or too much money
- 90 cents exactly are thrown \rightsquigarrow ready

$(\{10, 20, 50, C\}, \{0, 10, 20, 30, 40, 50, 60, 70, 80, 90\}, \delta, 0, \{90\})$





More powerful languages and machines

Chomsky-Hierarchy: Grammars, Automata models, and more suitable for families of formal languages.

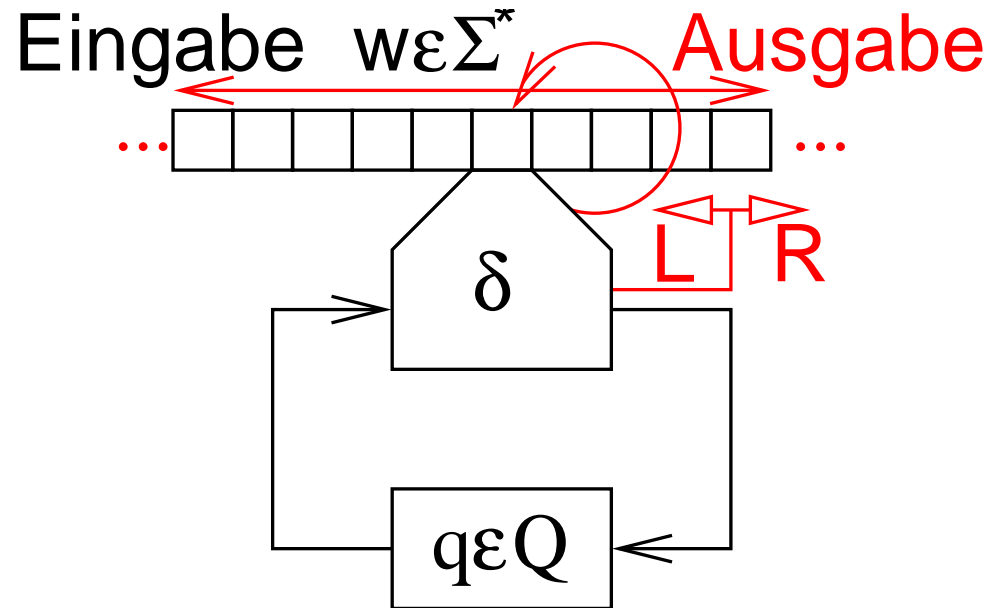
In particular: context-free languages. For example for the purpose of specification of the syntax of the programming languages.

- Context-free languages we can recognize effectively
- Which kind of languages we can recognize in **linear** time?



More powerful machines:

Turing machines and **Computability**



- The Turing machines could solve no more and no less problems than all others **enough powerful machine models**
- There are (important) **non computable functions**. In particular could we solve anything by an arbitrary Turing machine?



Theory of complexity: Which problems we could compute **efficiently**?

- Again are the Turing machines. We neglect polynomial big factors in the runtime(as functions of the length of the input).

$$n \approx n^2 \approx \dots n^{42} \approx \dots \ll 2^{0.134n}$$

- We know a little about the **lower bound of the running time**
- But there are big classes of important algorithmic problems for which **all others, less efficient** problems are excellent.